

# Laboratory X-ray studies with trapped ions using EBITs and synchrotrons

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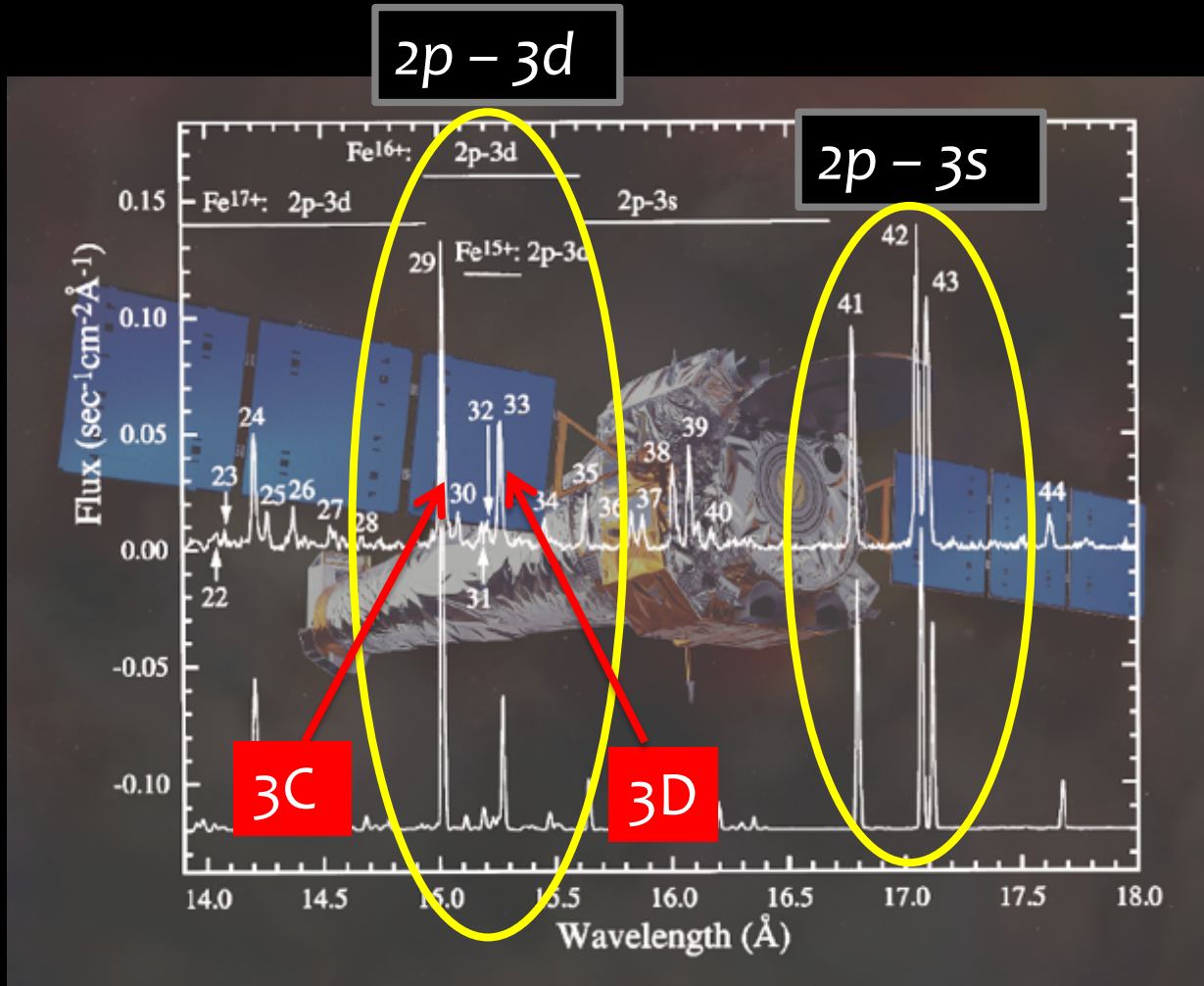
MAX-PLANCK-GESELLSCHAFT

AtomDB workshop  
Monday, August 3<sup>rd</sup> 2020



# $\text{Fe}^{16+}$ (Fe XVII) line emission problem

$\text{Fe}^{16+}$  ions emit strongest soft X-ray diagnostic lines seen in space



$\text{Fe XVII } 2p - 3d \sim 15 \text{ Å}$

3C (resonance line) and  
3D (intercombination line)

$\text{Fe XVII } 2p - 3s \sim 17 \text{ Å}$

3F + 3G

and

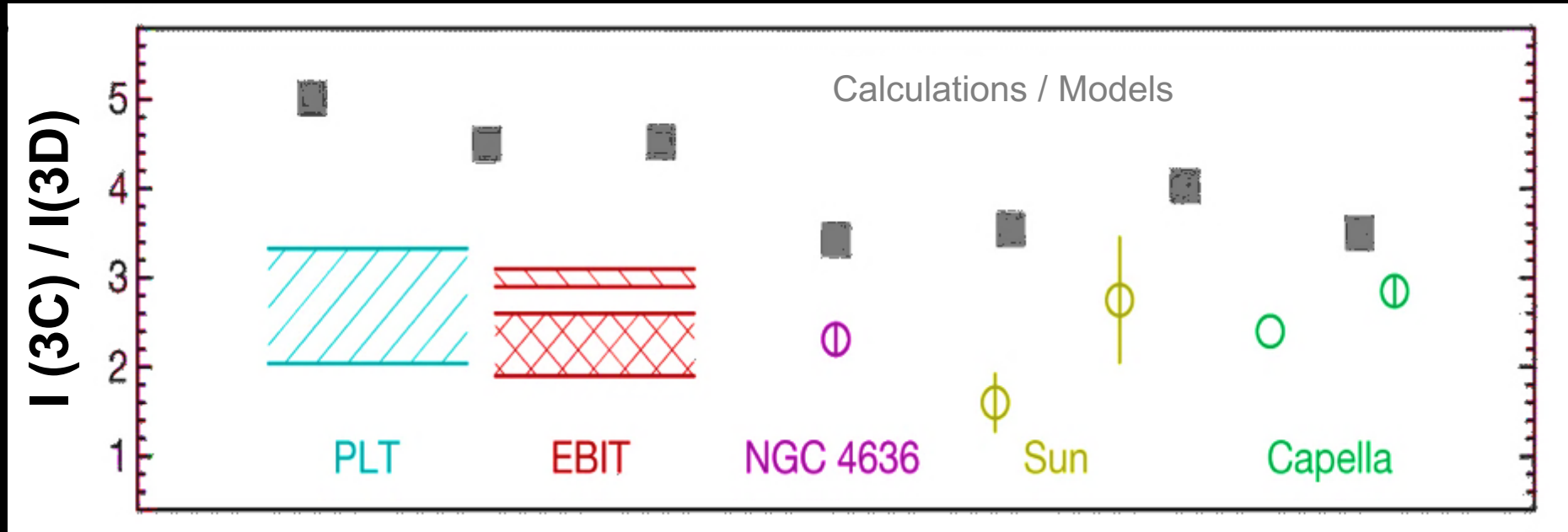
M2 (forbidden line)

Very Crucial for

- plasma temperature
  - density
  - turbulence velocity
  - plasma opacity
- diagnostics...

# Disagreements between Observations and Models and between Experiments and Theoretical calculations.

$I(3C)/I(3D)$  ratio have defied atomic and plasma theory for nearly 40 years



Is theory/Model wrong? Which part?

# Classical Spectroscopy using EBITs

Electron beam drives ionization, excitation, recombination, and traps the ions

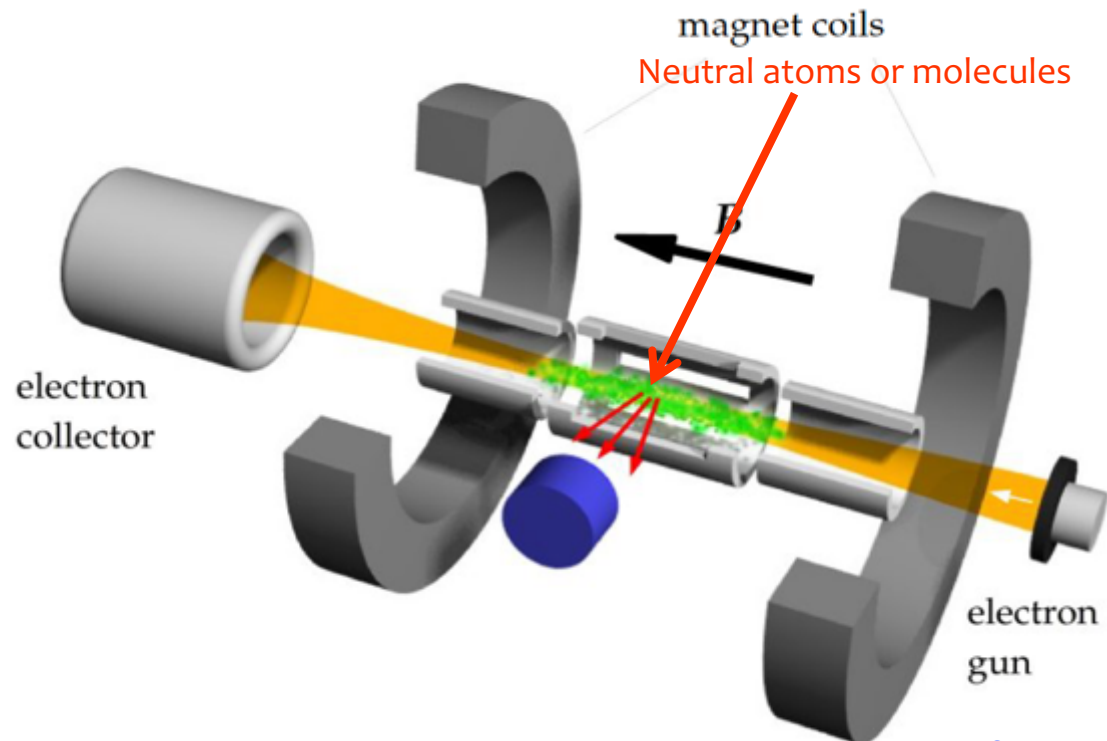
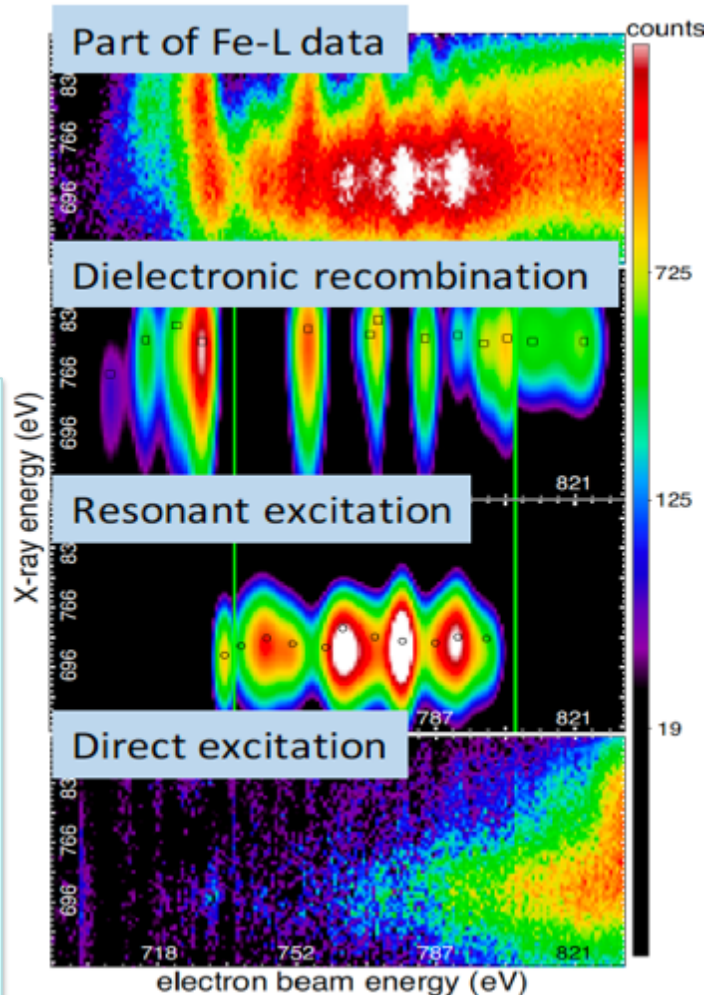
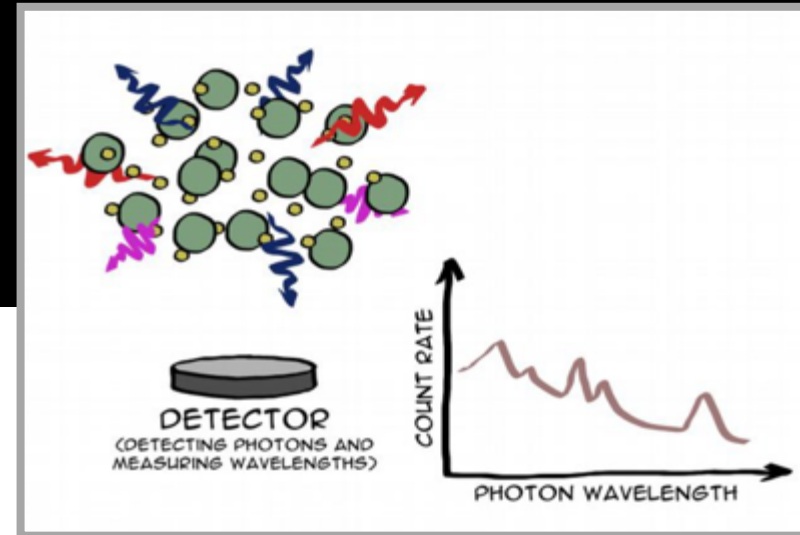


Image: S. Bernitt

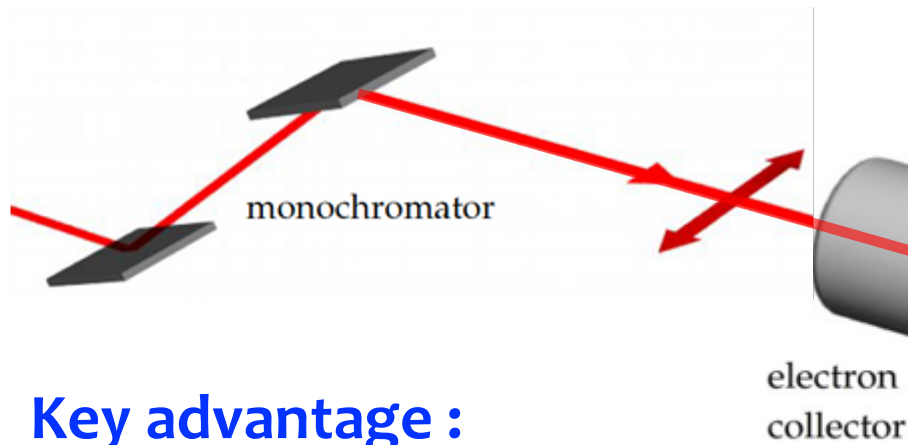
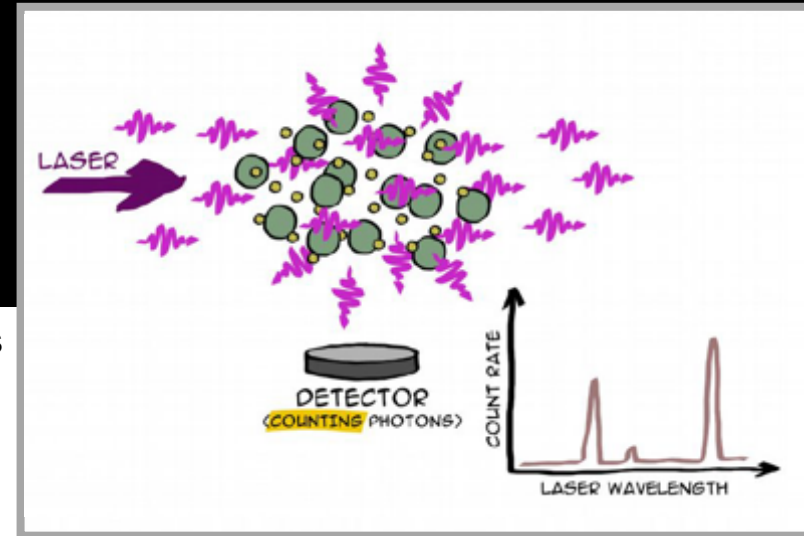


# Laser Spectroscopy using EBITs

same as coronal plasmas

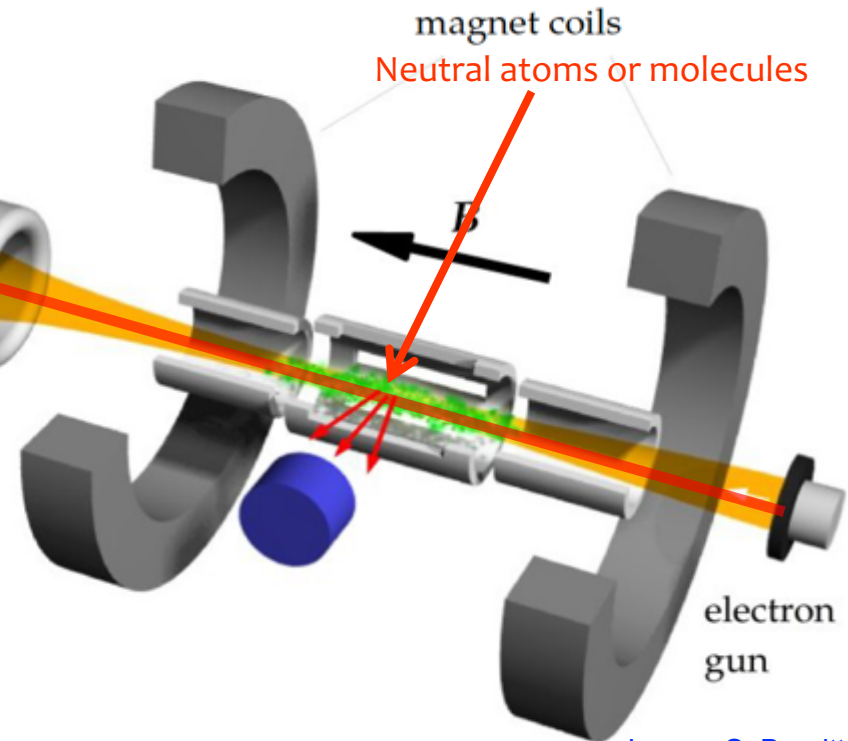
$$n_{\text{electron}} \sim 10^9\text{--}10^{13} / \text{cm}^3$$

$$n_{\text{ion}} \sim 10^6\text{--}10^8 / \text{cm}^3$$



## Key advantage :

purely photonic excitation  
suppresses uncertainties arising  
from collisional excitation

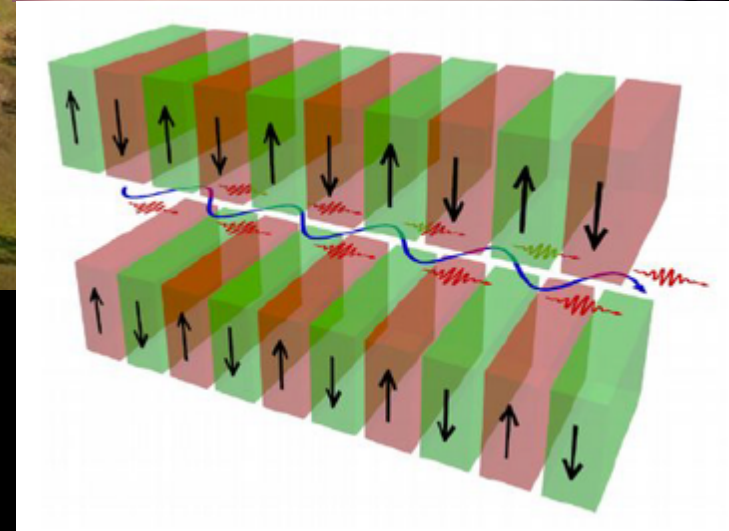


# LCLS Stanford campaign

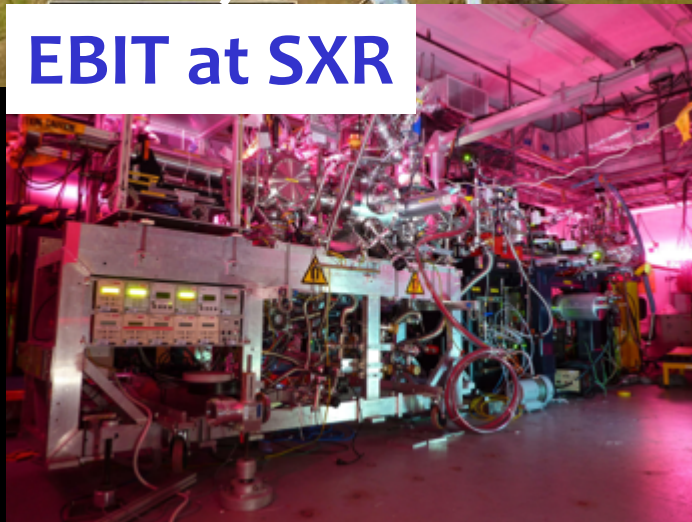
(World's first and most powerful FEL Free Electron Laser)



## LCLS undulator hall

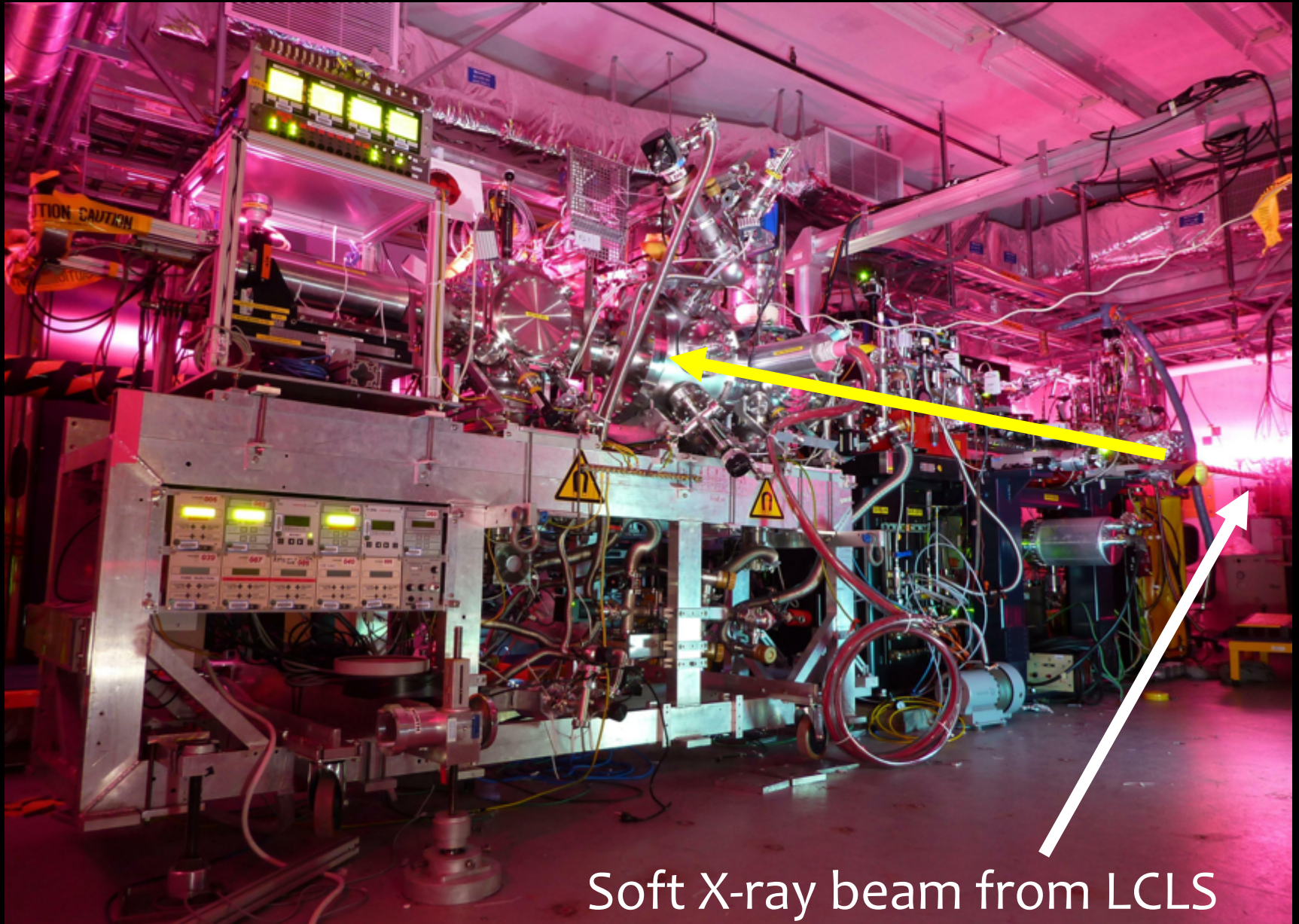


## EBIT at SXR





# FLASH-EBIT at soft X-ray beamline (LCLS)

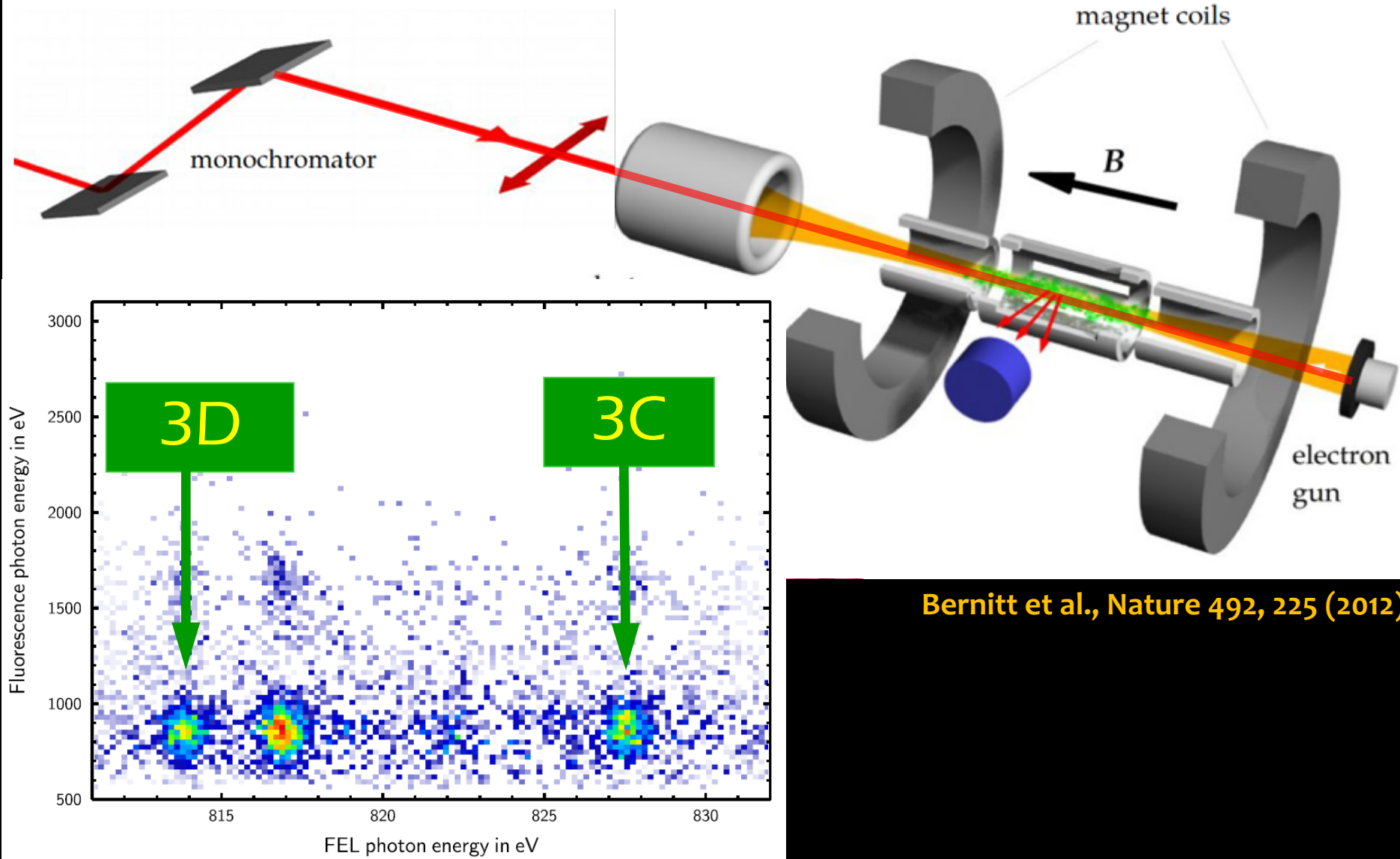


Soft X-ray beam from LCLS

# Measurement Technique

**EBIT:** production and trapping of highly charged ions

**X-ray laser:** photo-excited trapped highly charged ions



Bernitt et al., Nature 492, 225 (2012)



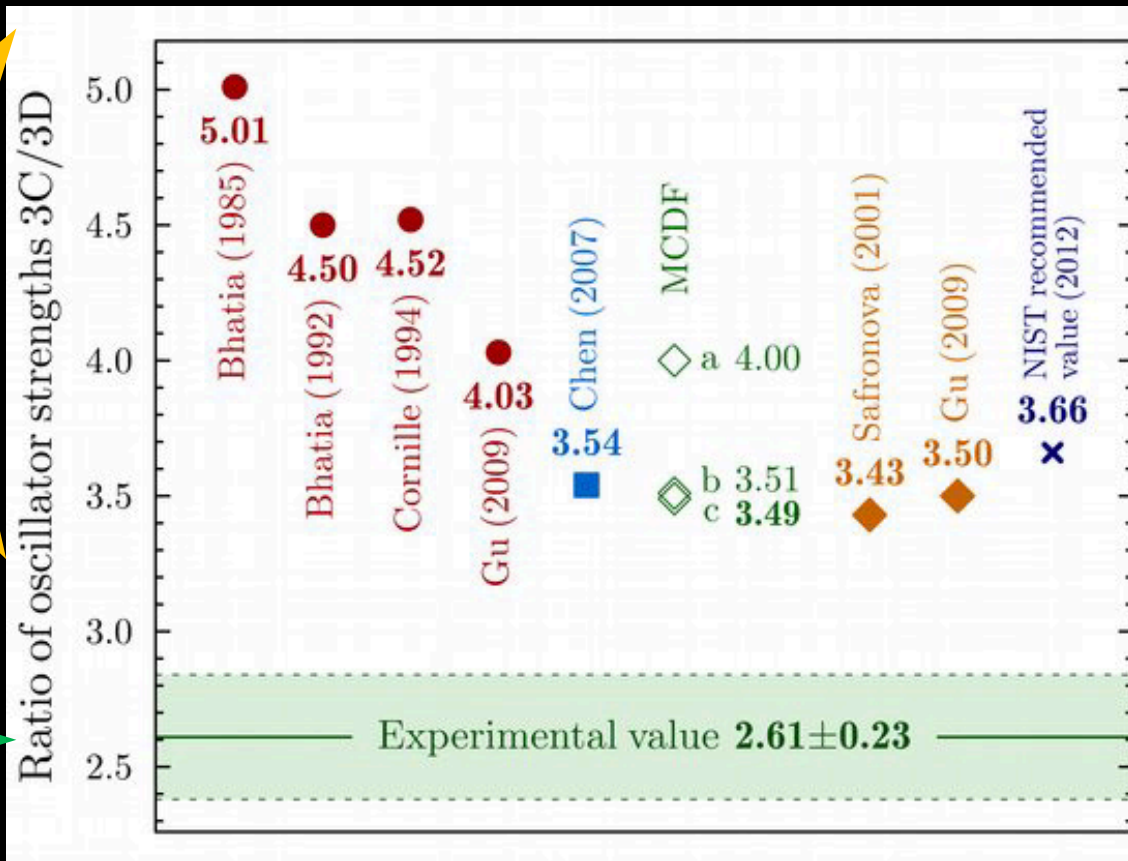
# Experiment solves (serious) old problem

Bernitt et al., Nature 492, 225 (2012)

Theoretical oscillator strength ratios  $3C/3D$

Experimental oscillator strength ratio  $3C/3D$

$3\sigma$  away from best theory



## Conclusion:

Inaccurately predicted oscillator strengths for  $3C$  and  $3D$  are the root cause of the long-standing discrepancy between models and astrophysical observation and laboratory measurements

# Experiment solves (serious) old problem (May be?)

PRL 113, 143001 (2014)

PHYSICAL REVIEW LETTERS

week ending  
3 OCTOBER 2014



## Astrophysical Line Diagnosis Requires Nonlinear Dynamical Atomic Modeling

Natalia S. Oreshkina, Stefano M. Cavaletto, Christoph H. Keitel, and Zoltán Harman  
*Max-Planck-Institut für Kernphysik, Saupfercheckweg 1, 69117 Heidelberg, Germany*  
(Received 3 July 2014; revised manuscript received 19 August 2014; published 29 September 2014)

THE ASTROPHYSICAL JOURNAL LETTERS, 801:L13 (5pp), 2015 March 1  
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[doi:10.1088/2041-8205/801/1/L13](https://doi.org/10.1088/2041-8205/801/1/L13)

### NON-EQUILIBRIUM MODELING OF THE FE XVII 3C/3D LINE RATIO IN AN INTENSE X-RAY FREE-ELECTRON LASER EXCITED PLASMA

S. D. LOCH<sup>1</sup>, C. P. BALLANCE<sup>1</sup>, Y. LI<sup>1</sup>, M. FOGLE<sup>1</sup>, AND C. J. FONTES<sup>2</sup>

<sup>1</sup> Auburn University, Auburn, AL 36849, USA; [loch@physics.auburn.edu](mailto:loch@physics.auburn.edu)

<sup>2</sup> Los Alamos National Laboratory, MS F663, Los Alamos, NM 87545, USA

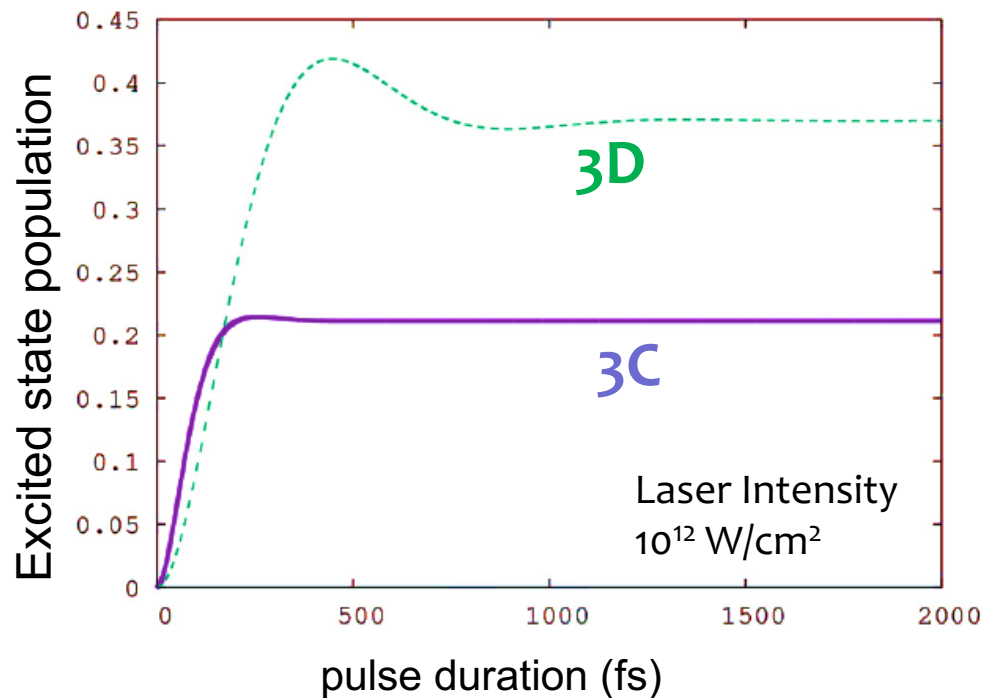
Received 2014 July 23; accepted 2015 February 9; published 2015 March 2



ARTICLE

## Non-equilibrium modeling of the Fe XVII 3C/3D ratio for an intense X-ray free electron laser<sup>1</sup>

Y. Li, M. Fogle, S.D. Loch, C.P. Ballance, and C.J. Fontes



## Reason 1: Non-linear Dynamics?

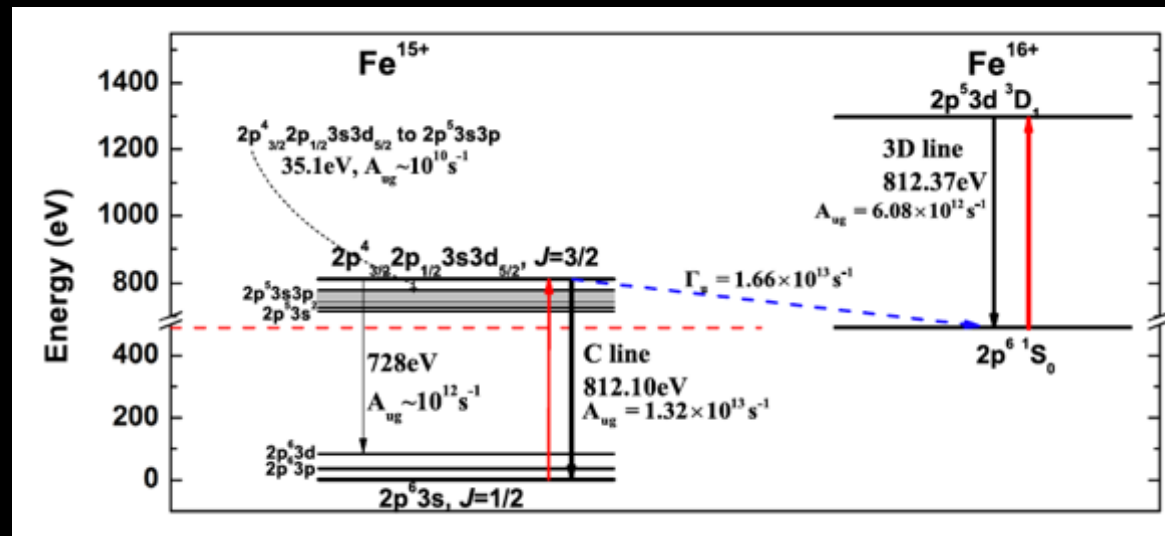
Femtosecond X-ray **laser with intensities** above  $\sim 10^{12} \text{ W/cm}^2$ ,

then upper state population of **(3C and 3D) states cannot reach equilibrium...**

## Reason 2:

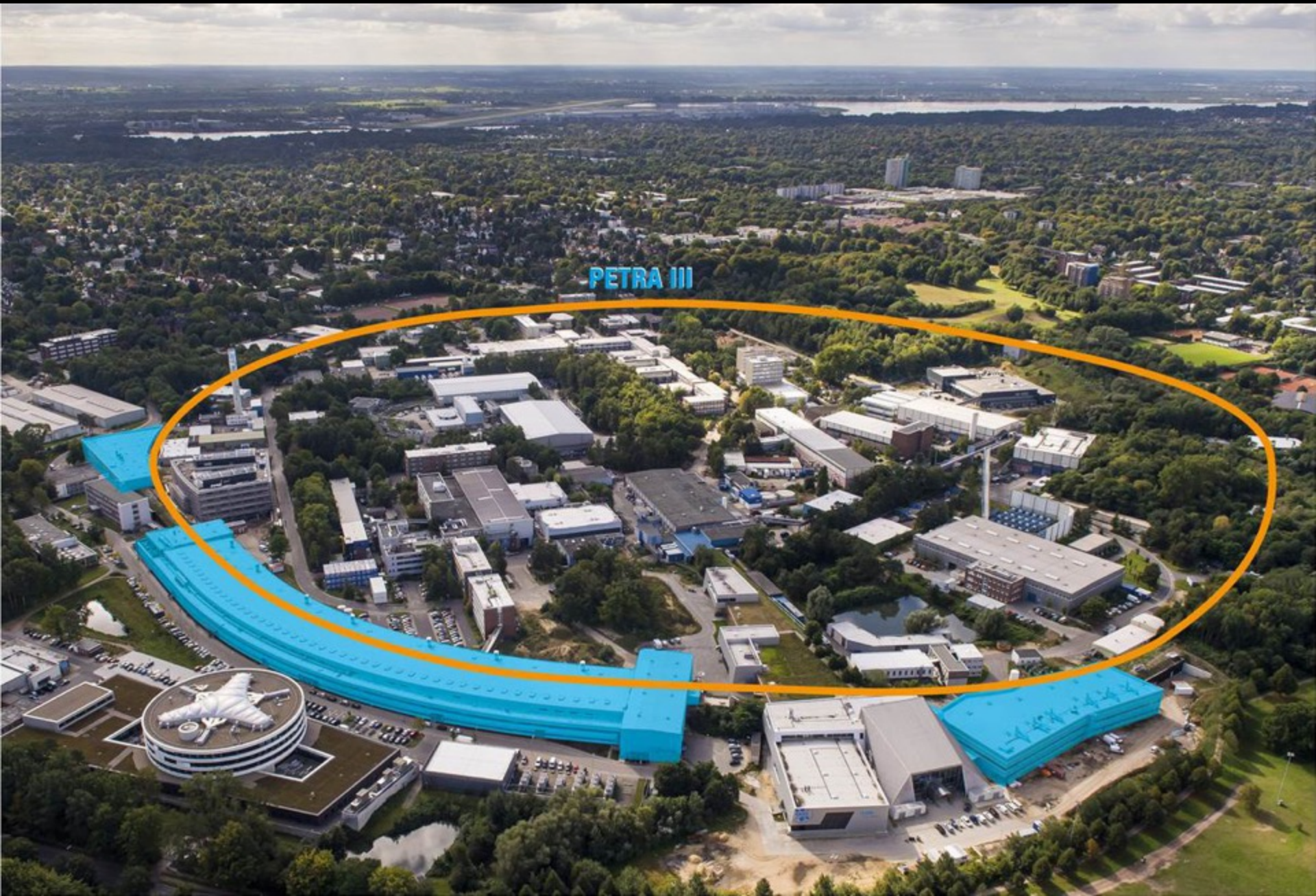
### **Population transfer?**

between Fe<sup>15+</sup> and Fe<sup>16+</sup> due to strong autoionization channel, feeding the Fe<sup>15+</sup> C-line **blended** with 3D

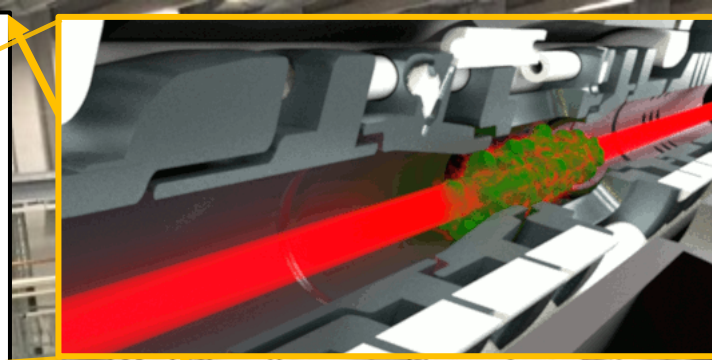
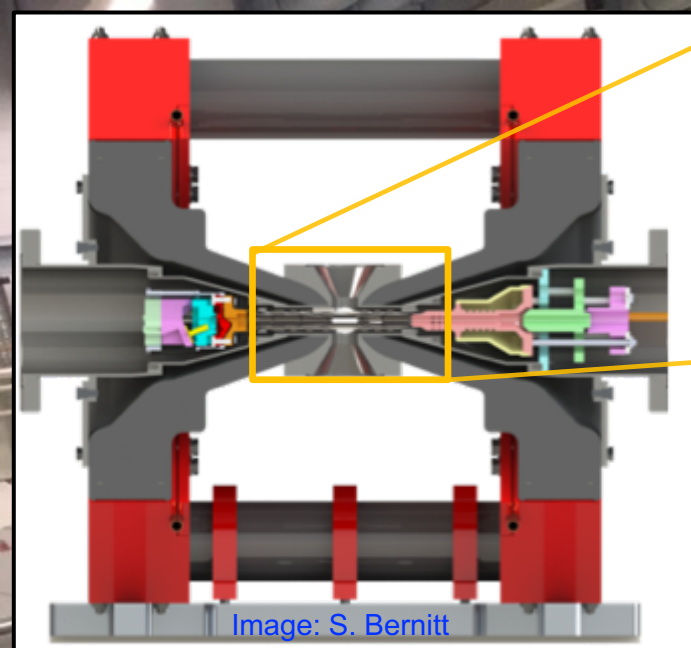




# PETRA III Synchrotron at DESY, Hamburg





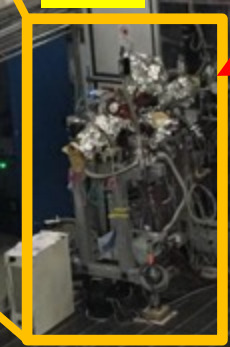


**Synchrotron photon beam**

**Only  $10^5 \text{ W/cm}^2$**

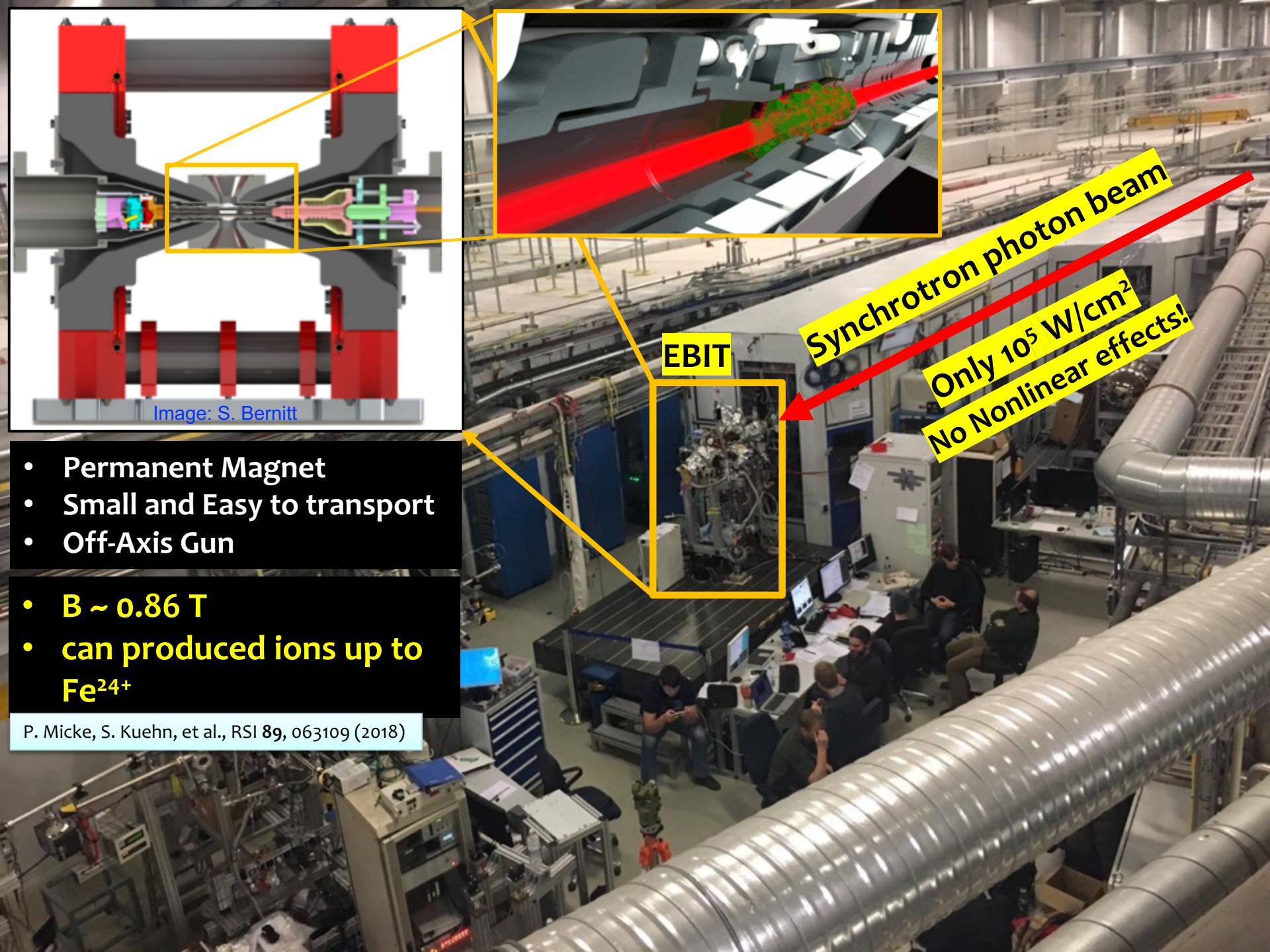
**No Nonlinear effects!**

**EBIT**

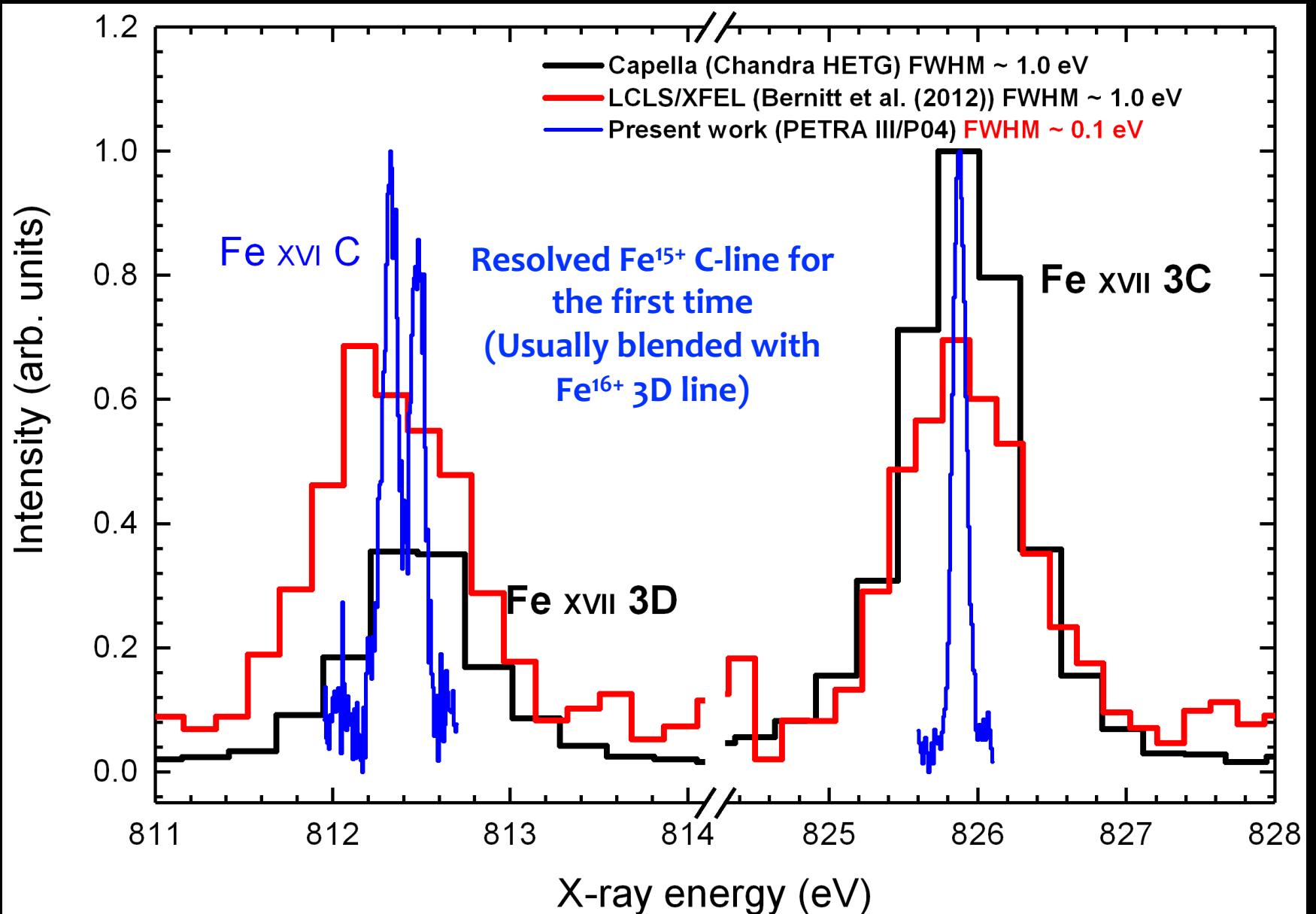


- Permanent Magnet
  - Small and Easy to transport
  - Off-Axis Gun
- 
- **$B \sim 0.86 \text{ T}$**
  - **can produced ions up to  $\text{Fe}^{24+}$**

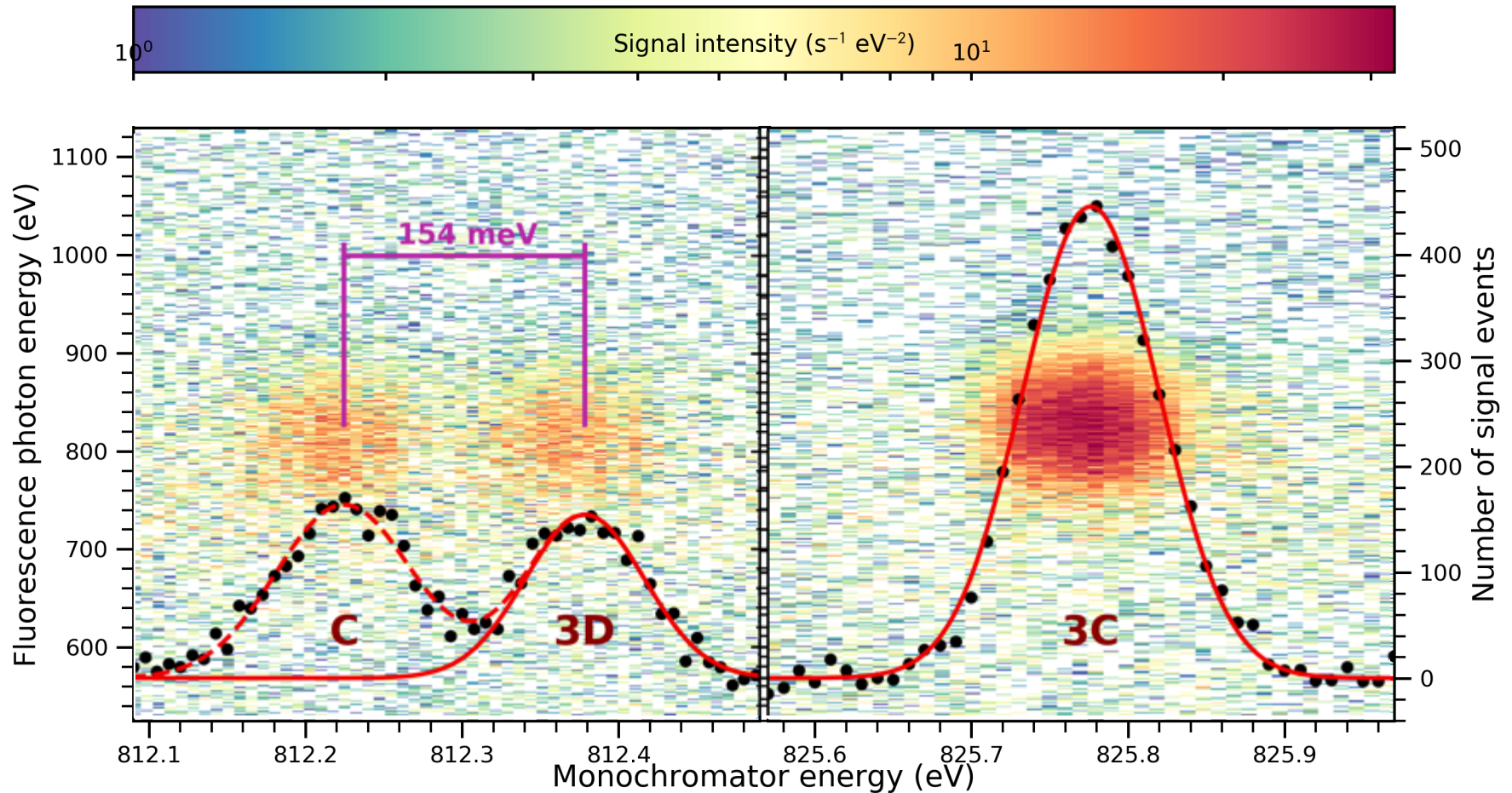
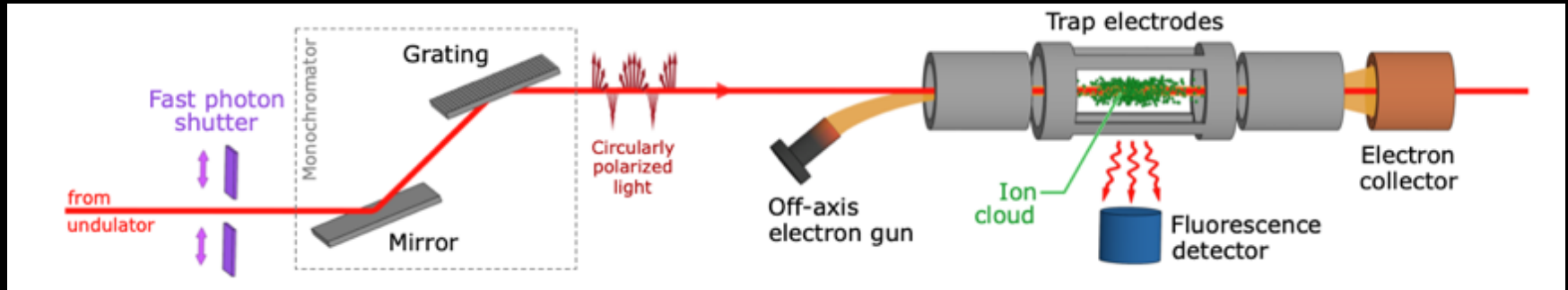
P. Micke, S. Kuehn, et al., RSI **89**, 063109 (2018)



# Improvement in Resolution: *10x better than Chandra*

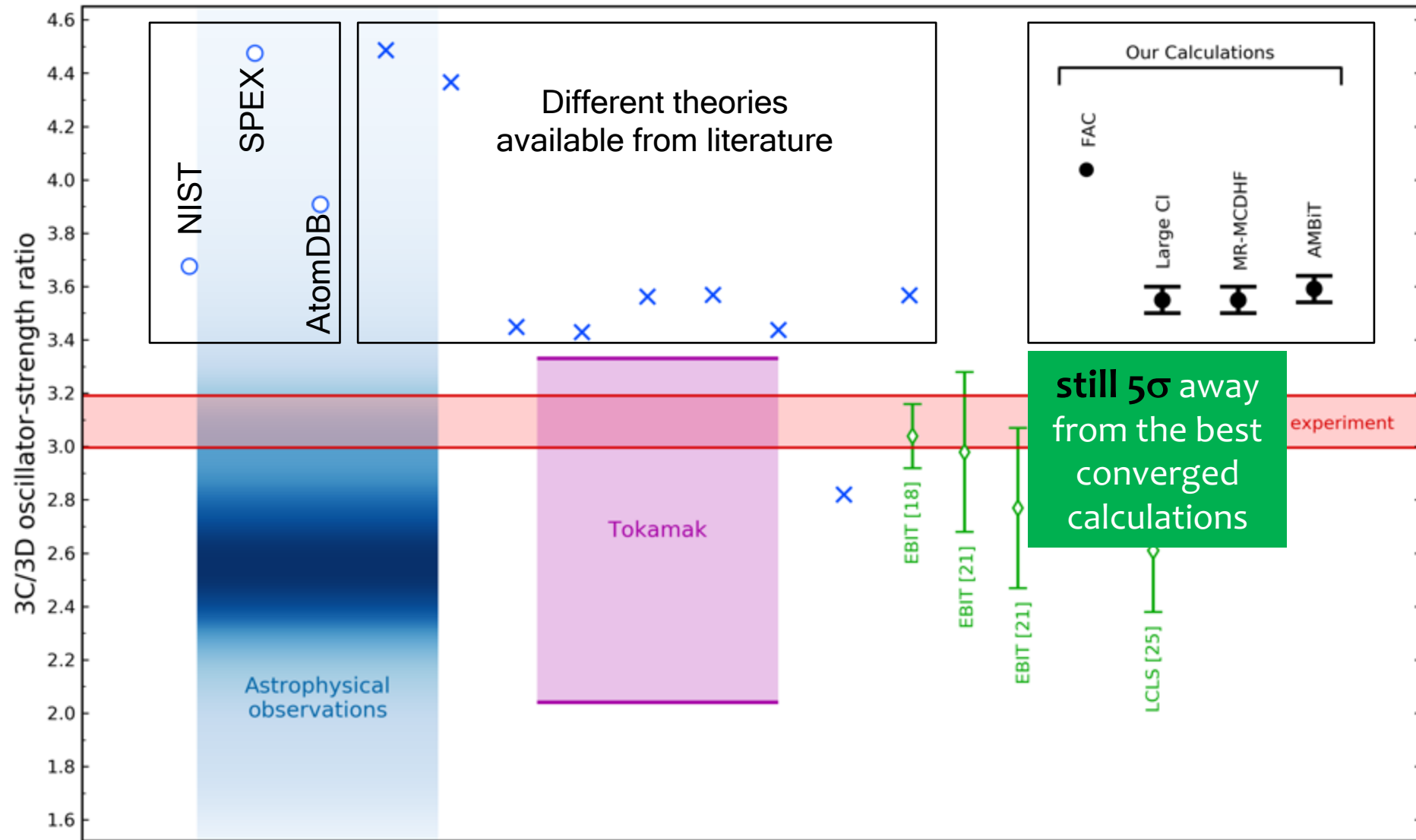


# Measurement technique





# Final result vs. Exp. vs. Obs. vs. Models and Theories

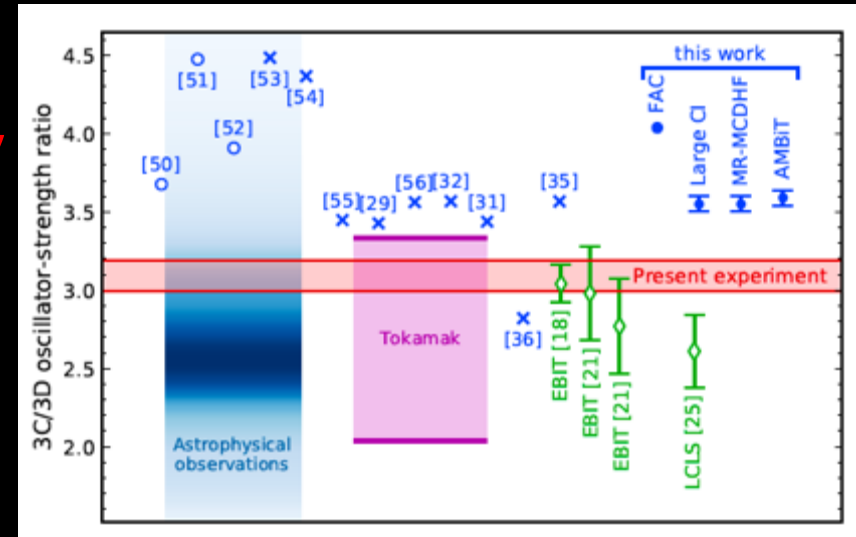


$$f(3C) / f(3D) = 3.09(8)(6)$$



# Results on the Fe XVII problem

- ✓ This new experiment reinstates the 40-year problem with **5 sigma discrepancy** and **3 % uncertainty**
- ✓ **Low oscillator strengths are still a root cause** of this problem (as our previous experiment found).



PHYSICAL REVIEW LETTERS **124**, 225001 (2020)

Editors' Suggestion

Featured in Physics

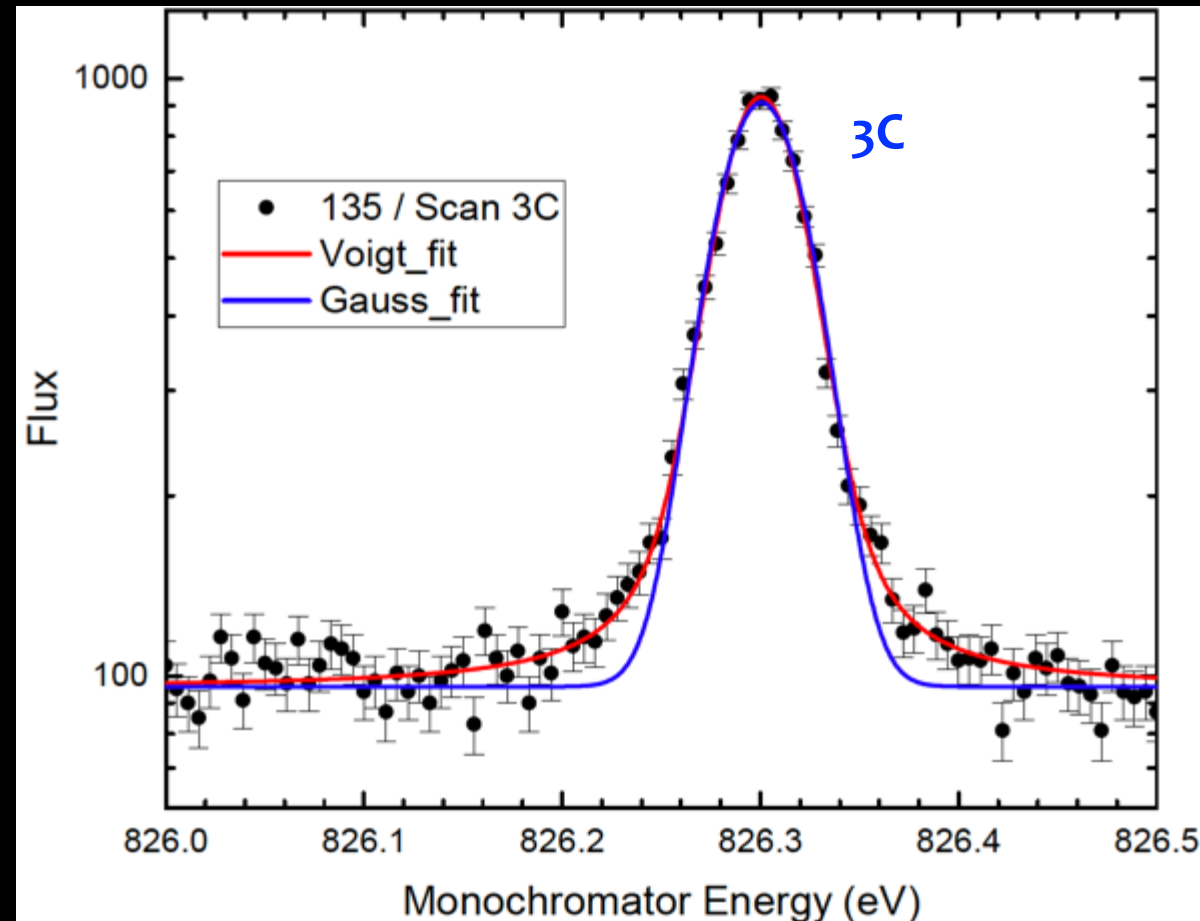
arXiv:1911.09707

## High Resolution Photoexcitation Measurements Exacerbate the Long-Standing Fe XVII Oscillator Strength Problem

Steffen Kühn<sup>1,2,\*</sup> Chintan Shah<sup>3,1,†</sup> José R. Crespo López-Urrutia<sup>1</sup> Keisuke Fujii<sup>4</sup> René Steinbrügge<sup>5</sup> Jakob Stierhof<sup>6</sup> Moto Togawa<sup>1</sup> Zoltán Harman<sup>1</sup> Natalia S. Oreshkina<sup>1</sup> Charles Cheung<sup>7</sup> Mikhail G. Kozlov<sup>8,9</sup> Sergey G. Porsev<sup>8,7</sup> Marianna S. Safronova<sup>7,10</sup> Julian C. Berengut<sup>11,1</sup> Michael Rosner<sup>1</sup> Matthias Bissinger<sup>12,6</sup> Ralf Ballhausen<sup>6</sup> Natalie Hell<sup>13</sup> SungNam Park<sup>14</sup> Moses Chung<sup>14</sup> Moritz Hoesch<sup>5</sup> Jörn Seltmann<sup>5</sup> Andrey S. Surzhykov<sup>15,16</sup> Vladimir A. Yerokhin<sup>17</sup> Jörn Wilms<sup>6</sup> F. Scott Porter<sup>3</sup> Thomas Stöhlker<sup>18,19,20</sup> Christoph H. Keitel<sup>1</sup> Thomas Pfeifer<sup>1</sup> Gregory V. Brown<sup>13</sup> Maurice A. Leutenegger<sup>3</sup> and Sven Bernitt<sup>1,18,19,20</sup>

# What's next for the Fe XVII problem?

Investigate individual oscillator strengths of  $3C$  and  $3D$  rather than their ratio.



Oct 2019 beamtime:

We improved resolution:  
~18 000 Resolving power at  
line 3C

The instrumental profile  
(beamline) is still a problem  
at very high resolution...  
~30 000 RP at O-w line

We plan to investigate this problem in the upcoming beamtime in November 2020 at PETRA III P04.

# Issue with the atomic oxygen O I velocity in the interstellar medium

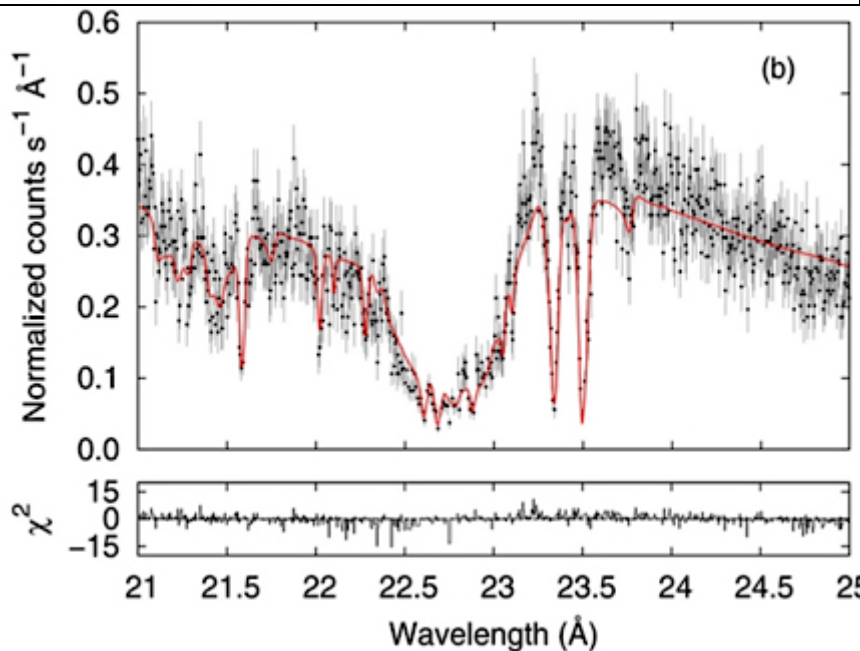
Chandra observations of atomic O I 2p-1s absorption in ISM – backlit by bright X-ray binaries

## PHOTOIONIZATION MODELING OF OXYGEN K ABSORPTION IN THE INTERSTELLAR MEDIUM: THE *CHANDRA* GRATING SPECTRA OF XTE J1817-330

E. Gatzuz<sup>1</sup>, J. García<sup>2,3</sup>, C. Mendoza<sup>1,4</sup>, T. R. Kallman<sup>3</sup>, M. Witthoeft<sup>3</sup>, A. Lohfink<sup>2</sup>, M. A. Bautista<sup>5</sup>, P. Palmeri<sup>6</sup>, and P. Quinet<sup>5,7</sup>

Published 2013 April 15 • © 2013. The American Astronomical Society. All rights reserved.

[The Astrophysical Journal, Volume 768, Number 1](#)



O I (2p-1s):  **$23.502 \pm 0.001$  Å**

## A COMPREHENSIVE X-RAY ABSORPTION MODEL FOR ATOMIC OXYGEN

T. W. Gorczyca<sup>1</sup>, M. A. Bautista<sup>1</sup>, M. F. Hasoglu<sup>2</sup>, J. García<sup>3</sup>, E. Gatzuz<sup>4</sup>, J. S. Kaastra<sup>5,6</sup>, T. R. Kallman<sup>7</sup>, S. T. Manson<sup>8</sup>, C. Mendoza<sup>1,4</sup>, A. J. J. Raassen<sup>5,9</sup> [+ Show full author list](#)

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[The Astrophysical Journal, Volume 779, Number 1](#)

Data Set	$1s \rightarrow 2p$
Astronomical observations	
<i>Chandra</i> , average of seven sources	$527.44 \pm 0.09$
<i>XMM-Newton</i> , Mrk 421	$527.30 \pm 0.05$
Juett et al. (2004), six sources	$527.41 \pm 0.18$
<b>Average</b>	<b>527.37</b>
<i>Chandra</i> , Liao et al. (2013)	$527.39 \pm 0.02$

O I (2p-1s):

Chandra  **$\sim 527.4$  eV**

XMM-Newton  **$\sim 527.3$  eV**

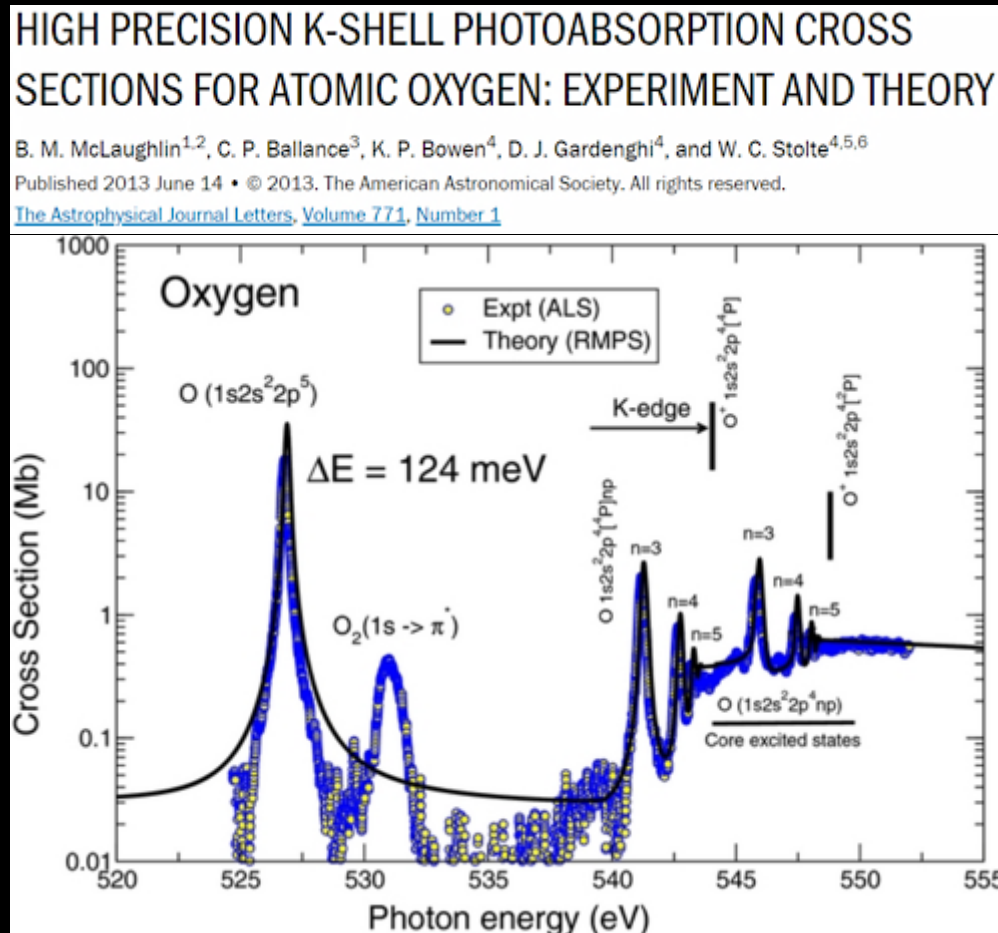
# High-resolution lab measurements at ALS (O I $1s \rightarrow 2p$ )

Laboratory rest energy O I  
 $\sim 526.79 \pm 0.04 \text{ eV}$

Difference to line-of-sight  
average observed value from  
XMM and Chandra is  
 $\sim 500 - 600 \text{ meV}$

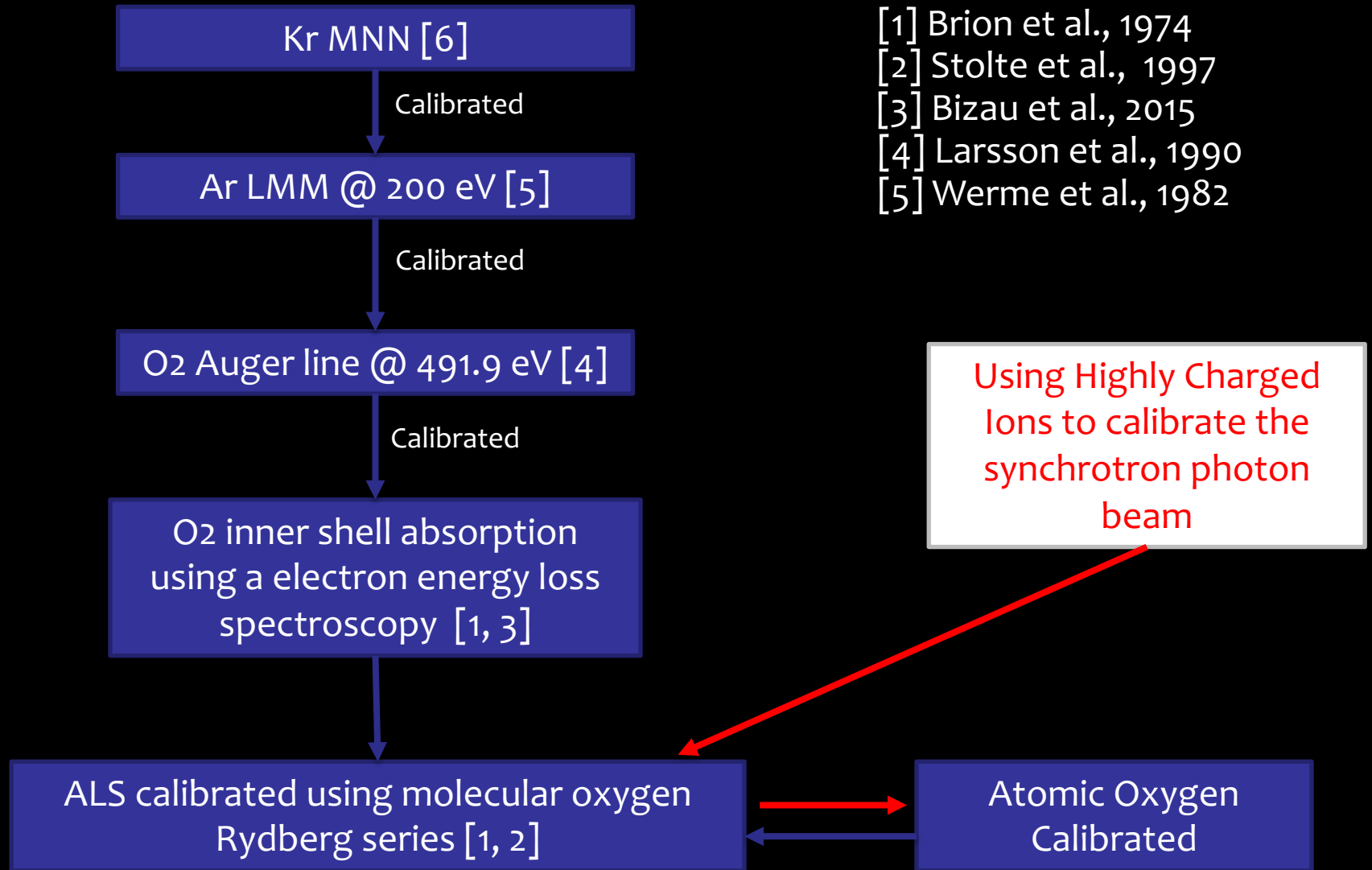
If we treat this discrepancy as a  
real **astrophysical Doppler shift**,

This would lead to a conclusion  
that the atomic **oxygen** along  
many line of sight is **moving**  
**away from us at velocity of  $\sim$**   
 **$300 - 350 \text{ km/s}$**





# High-resolution lab measurements at ALS ( $1s \rightarrow 2p$ )

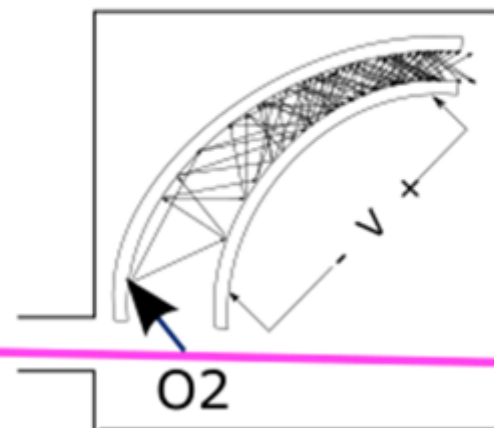
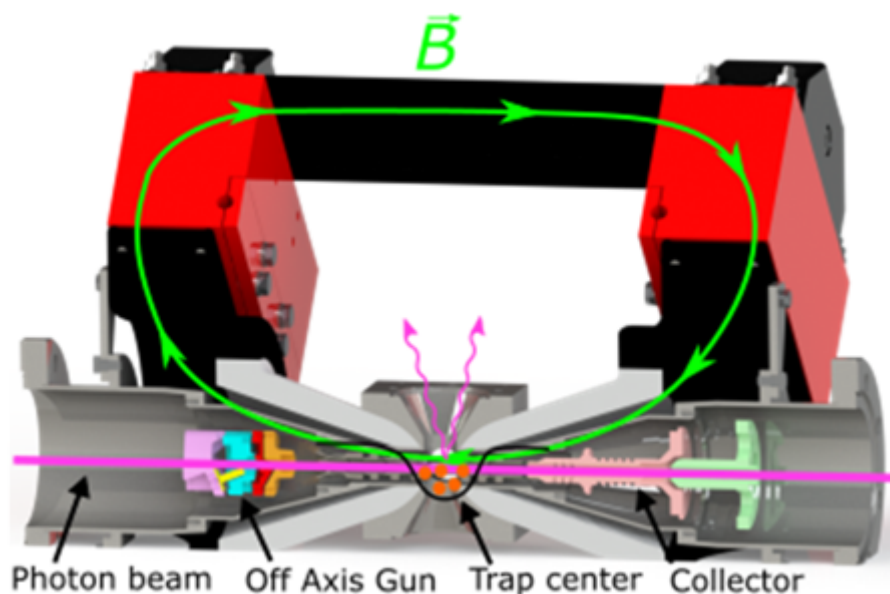


# Experimental setup at BESSY Synchrotron in Berlin

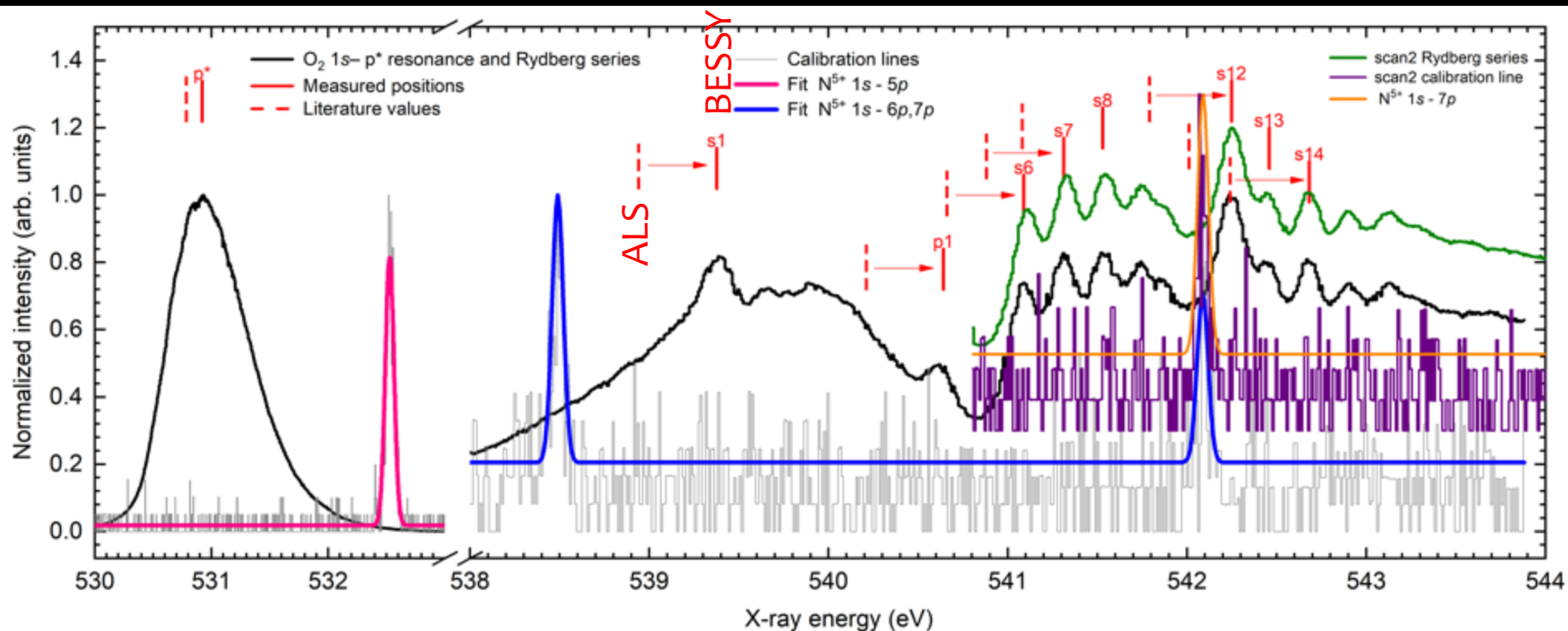
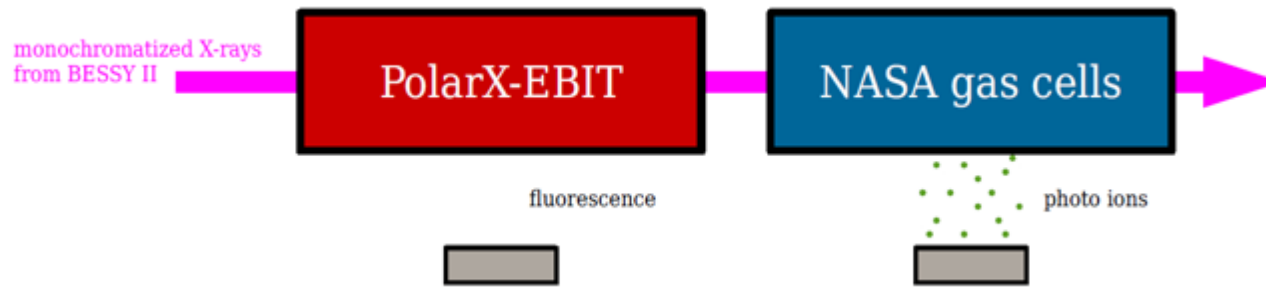


Electron Beam Ion Trap

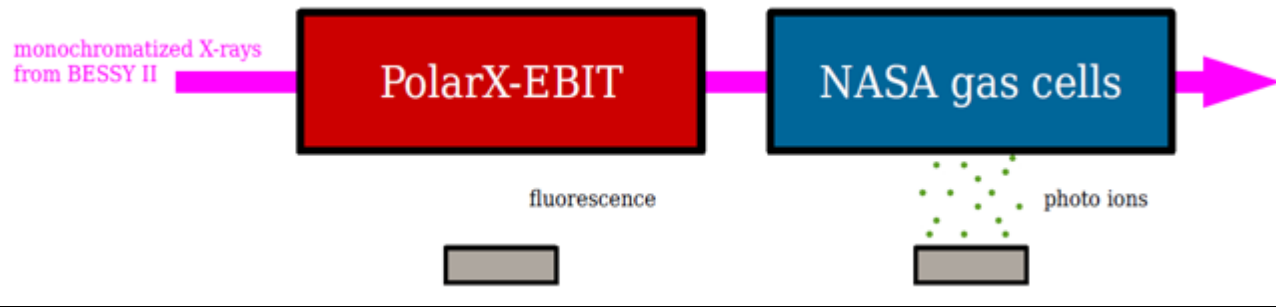
O<sub>2</sub> Gas Cell



# Results



# Results



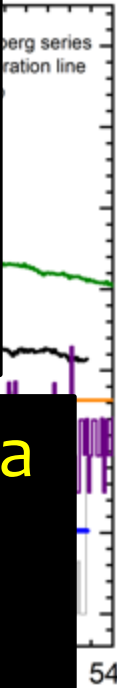
ALS value  $\rightarrow 526.79 \pm 0.04$  eV

Our value  $\rightarrow 527.26 \pm 0.04$  eV

Difference  $\rightarrow 0.47 \pm 0.06$  eV

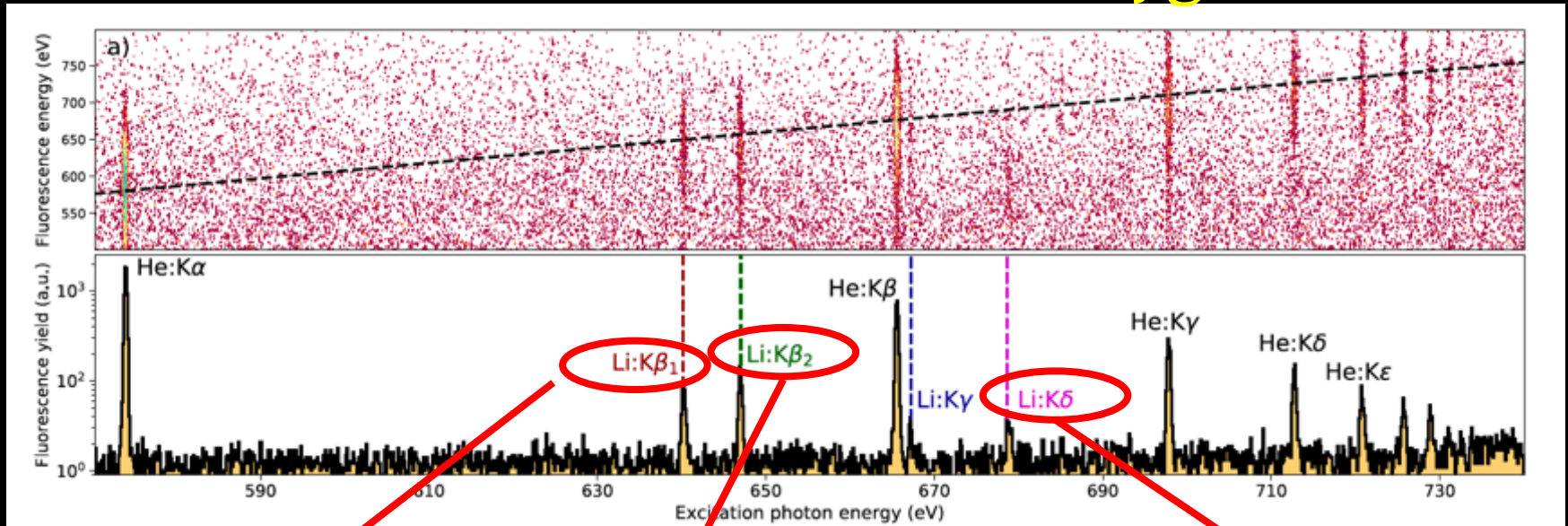
New O I 1s-2p lab value agrees with XMM and Chandra  
and  
atomic oxygen is moving as expected at  $\sim 0$  km/s

Leutenegger et al., arXiv:2003.13838

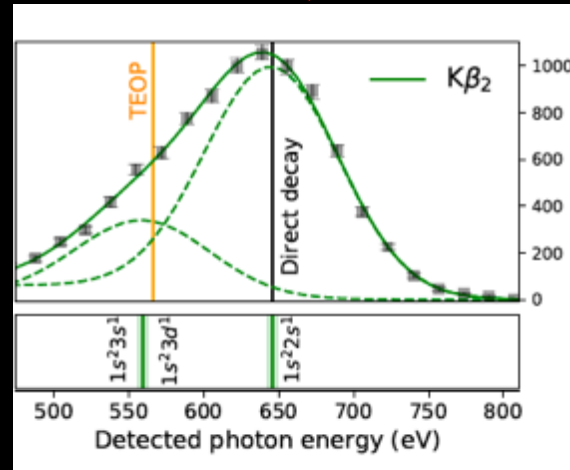
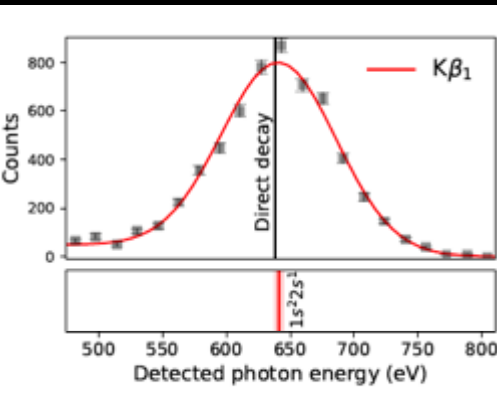




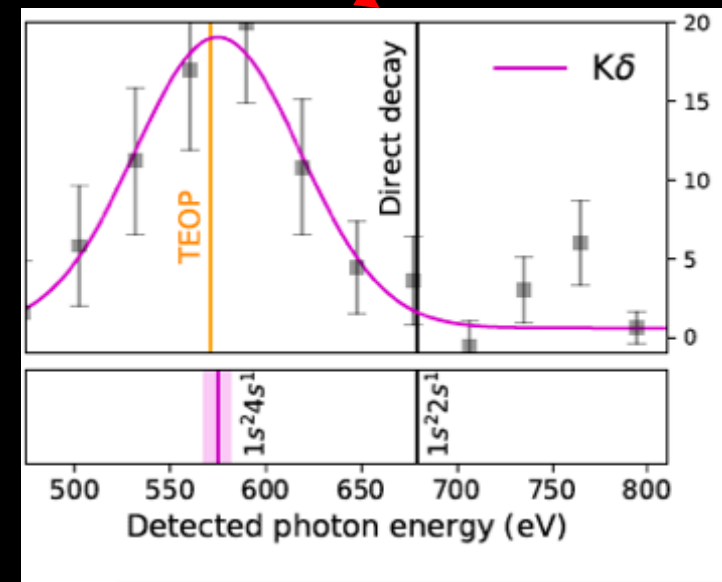
# Photo-excitation in He- and Li-like Oxygen



$[1s^2 2s] \rightleftharpoons [1s 2s 3p]^*$

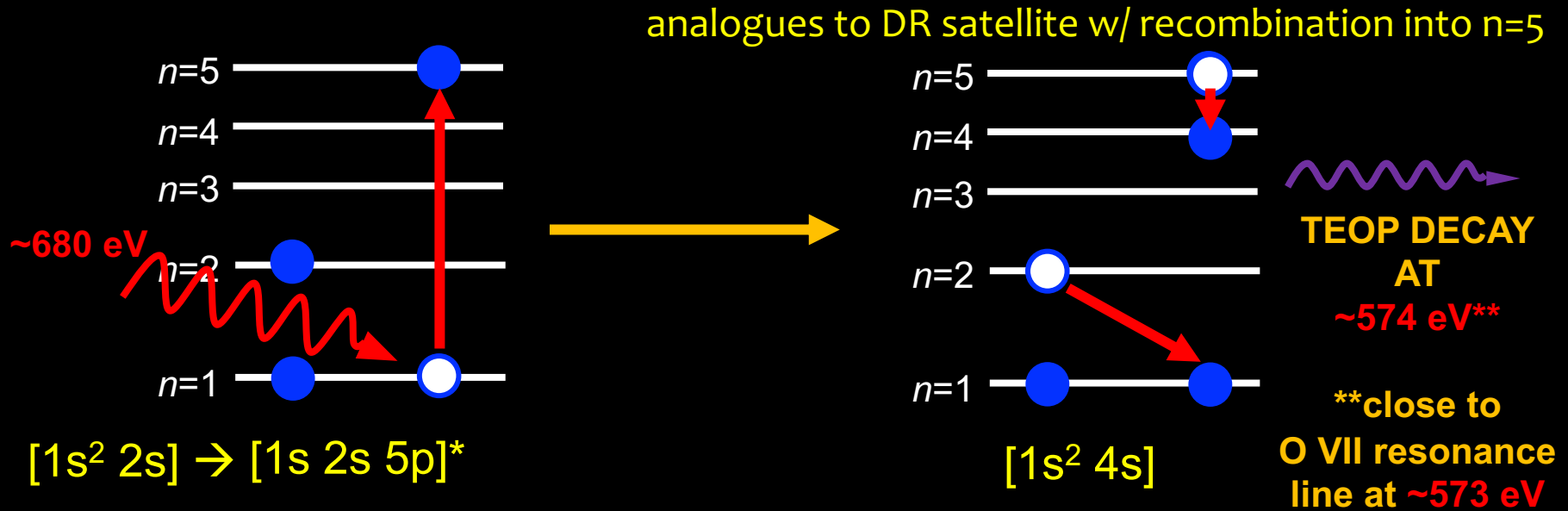


**DD/TEOP**  
**K $\beta_2$  branching ratio**  
**= 1.73  $\pm$  0.19**

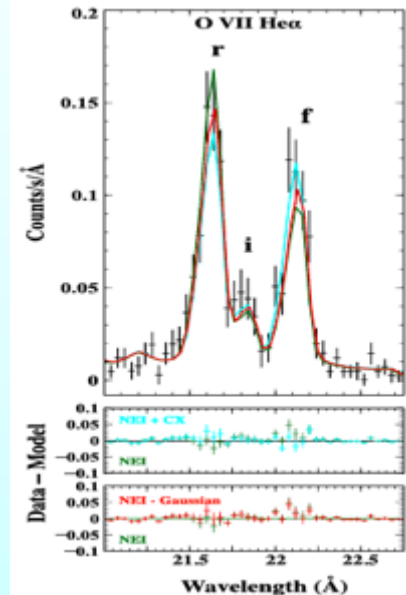


Togawa et al., arXiv:2003.05965

# TEOP transitions are crucial for the plasma modeling



- ✓ TEOP processes dominate over allowed Auger and Radiative decay channels.
- ✓ TEOP contributions were only explained with the inclusion of the large set of configurations in our calculations.
- ✓ Blending with O VII resonance line may affect the resonance-to-forbidden line ratio.



arXiv:1911.09707

PHYSICAL REVIEW LETTERS 124, 225001 (2020)

Editors' Suggestion

Featured in Physics

### High Resolution Photoexcitation Measurements Exacerbate the Long-Standing Fe XVII Oscillator Strength Problem

Steffen Kühn<sup>1,2,\*</sup> Chintan Shah<sup>2,1,3</sup> José R. Crespo López-Urrutia<sup>1</sup> Keisuke Fujii<sup>4</sup> René Steinbrügge<sup>5</sup> Jakob Stierhof<sup>6</sup> Moto Togawa<sup>1</sup> Zoltán Harman<sup>1</sup> Natalia S. Oreshkina<sup>3</sup> Charles Cheung<sup>7</sup> Mikhail G. Kozlov<sup>8,9</sup> Sergey G. Porsev<sup>8,7</sup> Marianna S. Safronova<sup>7,10</sup> Julian C. Berengut<sup>11,1</sup> Michael Rosner<sup>1</sup> Matthias Bissinger<sup>12,6</sup> Ralf Ballhausen<sup>6</sup> Natalie Hell<sup>13</sup> SungNam Park<sup>14</sup> Moses Chung<sup>14</sup> Moritz Hoesch<sup>5</sup> Jörn Seltmann<sup>5</sup> Andrey S. Surzhykov<sup>15,16</sup> Vladimir A. Yerokhin<sup>17</sup> Jörn Wilms<sup>8</sup> F. Scott Porter<sup>3</sup> Thomas Stöhlker<sup>18,19,20</sup> Christoph H. Keitel<sup>1</sup> Thomas Pfeifer<sup>1</sup> Gregory V. Brown<sup>13</sup> Maurice A. Leutenegger<sup>3</sup> and Sven Bernitt<sup>1,18,19,20</sup>

arXiv: 2003.05965

### Two-Electron-One-Photon Processes Can Dominate over Allowed Intershell Radiative and Auger Decay in Few-Electron Ions

M. Togawa,<sup>1,\*</sup> S. Kühn,<sup>1,2</sup> C. Shah,<sup>1,3</sup> P. Amaro,<sup>4</sup> R. Steinbrügge,<sup>5</sup> J. Stierhof,<sup>6</sup> N. Hell,<sup>7</sup> M. Rosner,<sup>1,2</sup> K. Fujii,<sup>8</sup> M. Bissinger,<sup>6</sup> R. Ballhausen,<sup>6</sup> M. Hoesch,<sup>5</sup> J. Seltmann,<sup>5</sup> S. Park,<sup>9</sup> F. Grilo,<sup>4</sup> F. S. Porter,<sup>3</sup> J. P. Santos,<sup>4</sup> M. Chung,<sup>9</sup> T. Stöhlker,<sup>10,11,12</sup> J. Wilms,<sup>6</sup> T. Pfeifer,<sup>1</sup> G. V. Brown,<sup>7</sup> M. A. Leutenegger,<sup>3</sup> S. Bernitt,<sup>1,11,10,12</sup> and J. R. Crespo López-Urrutia<sup>1,1</sup>

arXiv: 2003.13838

### High-Precision Determination of Oxygen-K $\alpha$ Transition Energy Excludes Incongruent Motion of Interstellar Oxygen

M. A. Leutenegger,<sup>1,\*</sup> S. Kühn,<sup>2</sup> P. Mücke,<sup>2,3</sup> R. Steinbrügge,<sup>4</sup> J. Stierhof,<sup>5</sup> C. Shah,<sup>1,2</sup> N. Hell,<sup>6</sup> M. Bissinger,<sup>7</sup> M. Hirsch,<sup>5</sup> R. Ballhausen,<sup>5</sup> M. Lang,<sup>5</sup> C. Gräfe,<sup>5</sup> S. Wipf,<sup>8</sup> R. Cumbee,<sup>1,9</sup> G. L. Betancourt-Martinez,<sup>10</sup> S. Park,<sup>11</sup> V. A. Yerokhin,<sup>12</sup> A. Surzhykov,<sup>3,13</sup> W. C. Stolte,<sup>14,1</sup> J. Niskanen,<sup>15,16</sup> M. Chung,<sup>11</sup> F. S. Porter,<sup>1</sup> T. Stöhlker,<sup>8,17,18</sup> T. Pfeifer,<sup>2</sup> J. Wilms,<sup>5</sup> G. V. Brown,<sup>6</sup> J. R. Crespo López-Urrutia,<sup>2</sup> and S. Bernitt<sup>8,18,17,2</sup>

Collaboration:

20 Institutions & ~ 35 authors

Thank you for your attention 😊



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MAX-PLANCK-GESELLSCHAFT



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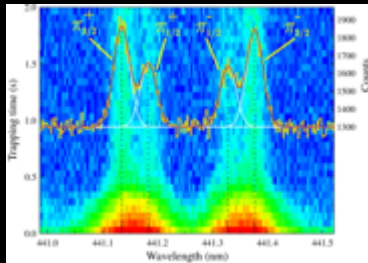


Extra Slides

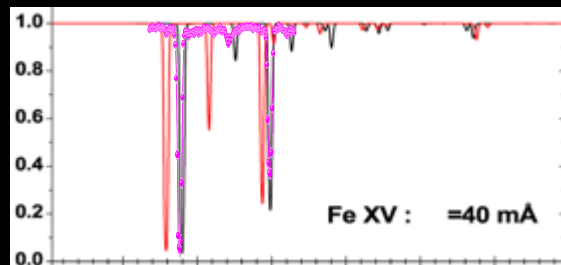
# What else we have measured with EBITs and Synchrotrons?

- Photoionization studies from  $N^{3+}$  to  $Fe^{23+}$
- $Fe^{15+...17+}$  resonance transitions
- Ly-series lines of H-like N, O, and F ions
- Fe  $K\alpha$  in  $Fe^{17+...24+}$  ions excited at 6.7 keV
- Polarization-dependent X-ray fluorescence
- Oscillator strengths, line widths, and branching ratios

Visible M1  
 $Ar^{13+}$

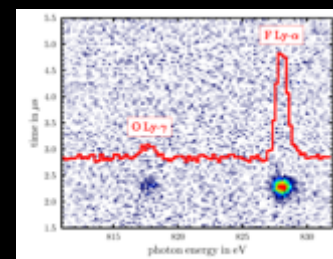


Soft X-ray photoionization  
 $Fe^{14+}$



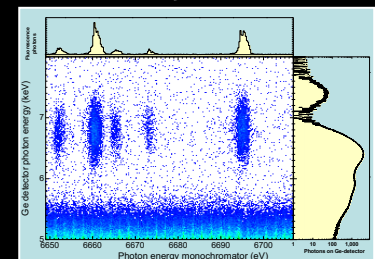
M. C. Simon et al.,  
PRL **105** 183001 (2010)

FEL 800 eV  
 $Fe^{16+}$



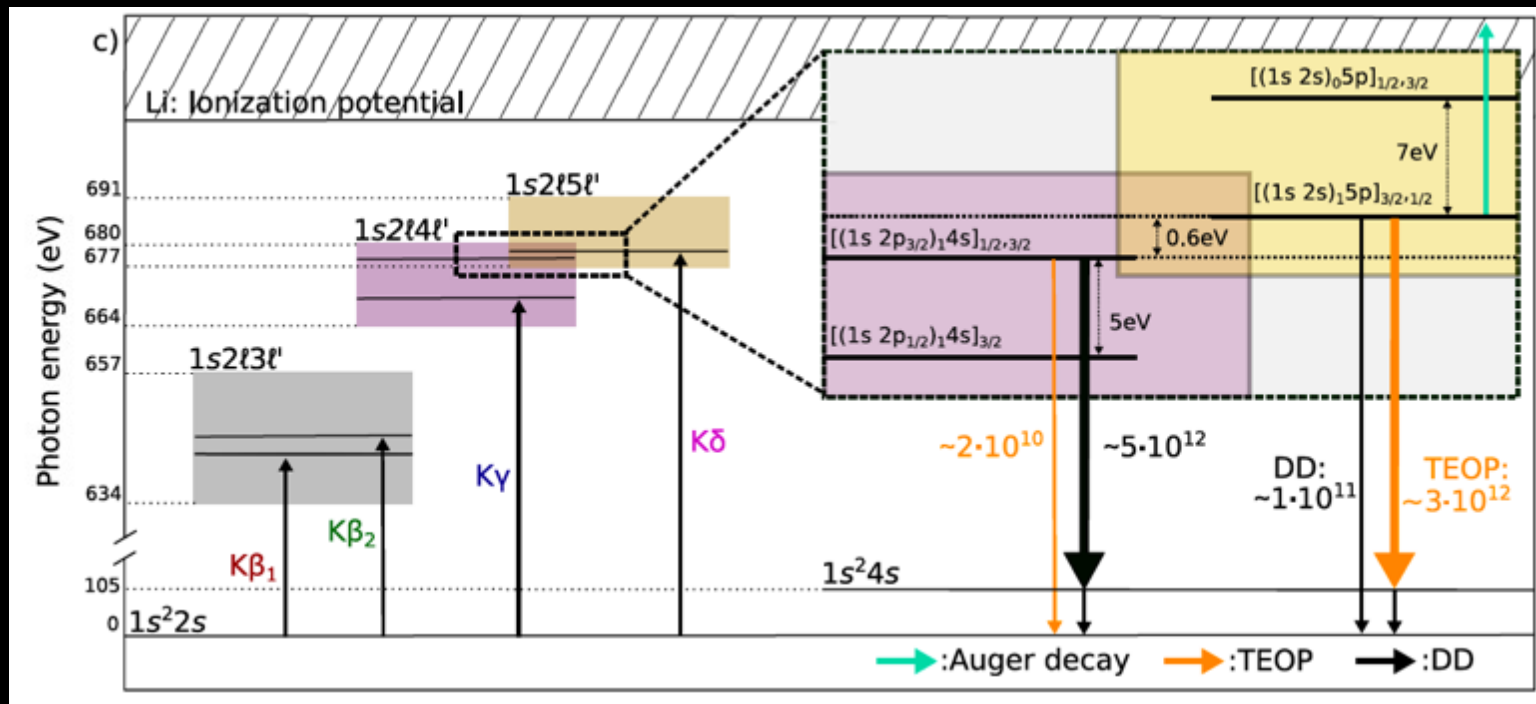
S. Bernitt et al.,  
Nature **492**, 225 (2012)

Synchrotron 6 keV  
 $Fe^{24+}$ , 13 keV  $Kr^{34+}$

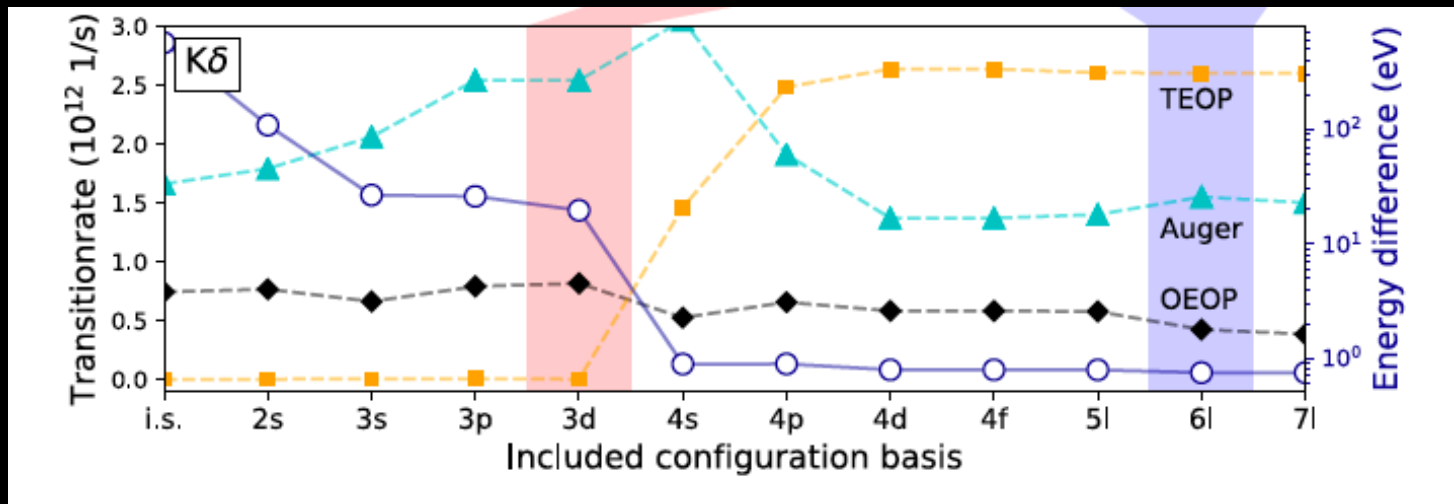


J. Rudolph et al.,  
PRL **111**, 103002 (2013)

# Two-Electron One-Photon Transitions in Li-like $O^{5+}$



CI label	Configuration set
initial set	$1s^2 2l$
	$1s^2 4l$
	$1s^2 5l$
2l	$1s^2 2l'$
3s	$1s^2 3s$
	$1s^2 3s$
3p	$1s^2 3p$
	$1s^2 3p$
3d	$1s^2 3d$
	$1s^2 3d$
4s	$1s^2 4s$
4p	$1s^2 4p$
4d	$1s^2 4d$
4f	$1s^2 4f$
5l	$1s^2 5l$
6l	$1s^2 6l$
	$1s^2 6l'$
7l	$1s^2 7l$
	$1s^2 7l'$





# Systematic Fe-L laboratory experiments

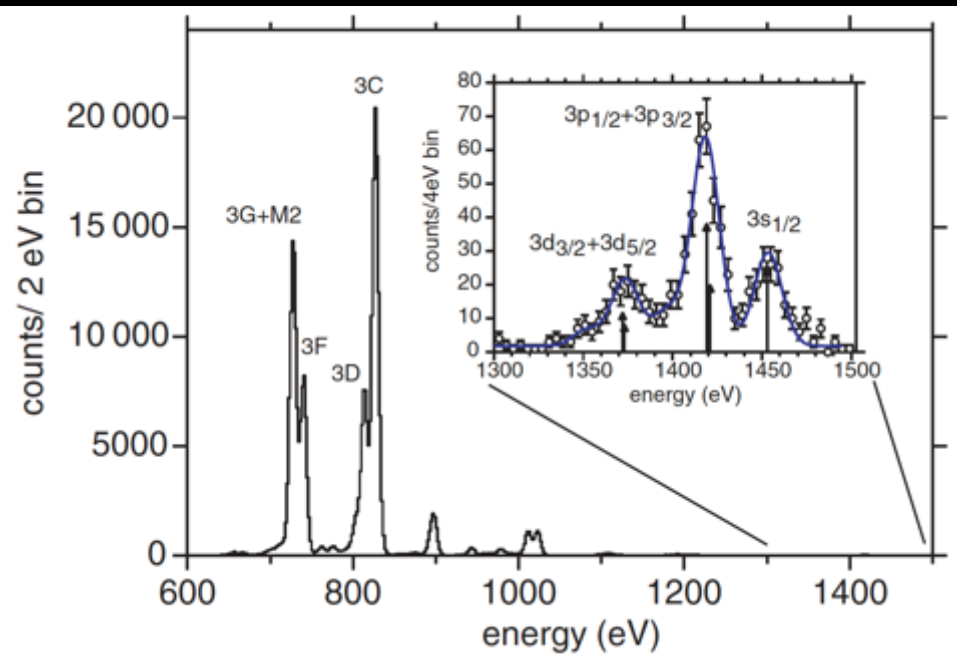
## Checking of individual atomic components of 3s and 3d

- Electron impact direct excitation
- Dielectronic recombination satellites
- Resonant excitation
- Radiative cascades
- .....
-

# Electron impact excitation cross sections

## Electron-impact excitation cross section

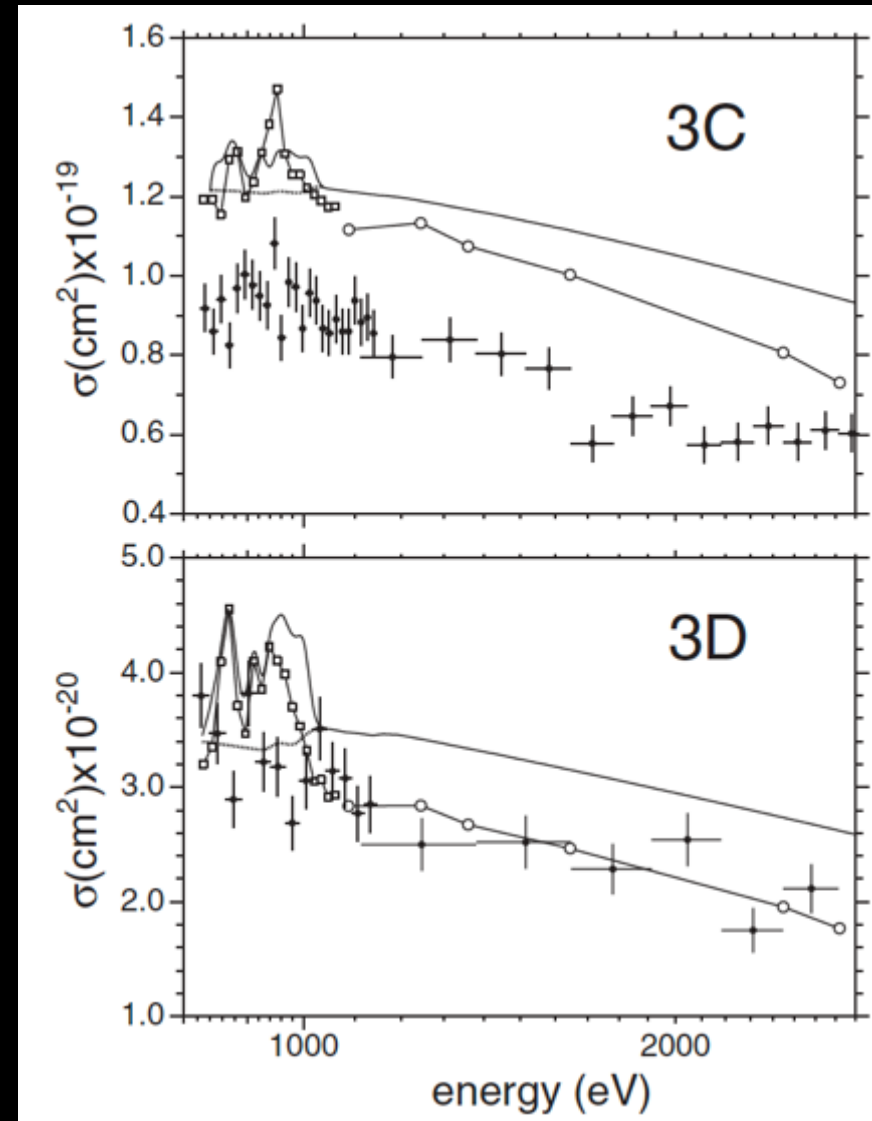
### ECS hitomi-like microcalorimeter spectrum



G. Brown et al. PRL (2006)

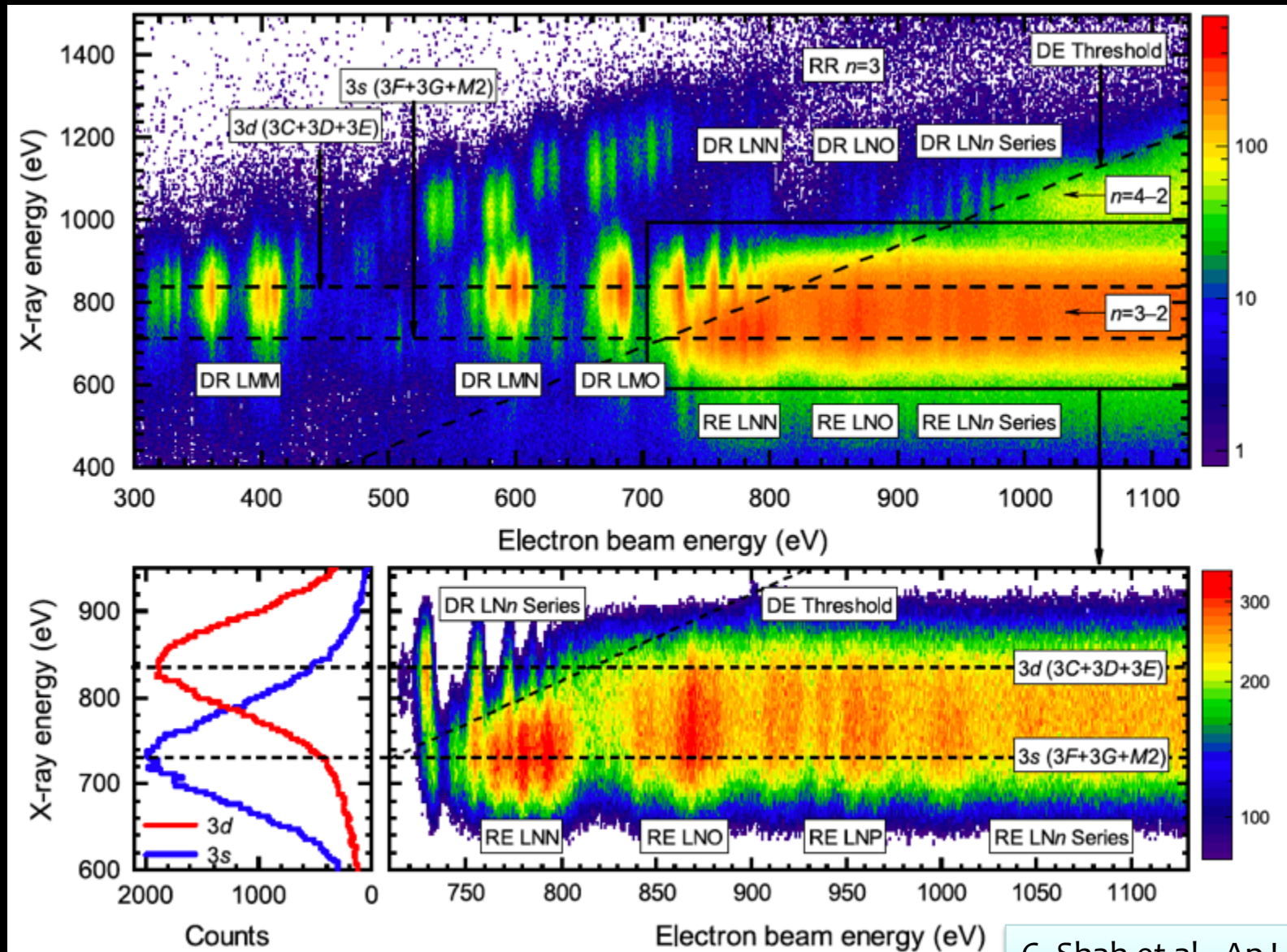
Theory overpredicts 3C cross sections  
by 20-30% ...

3D seems to be okay!



# Optically thin cross sections – crucial for models

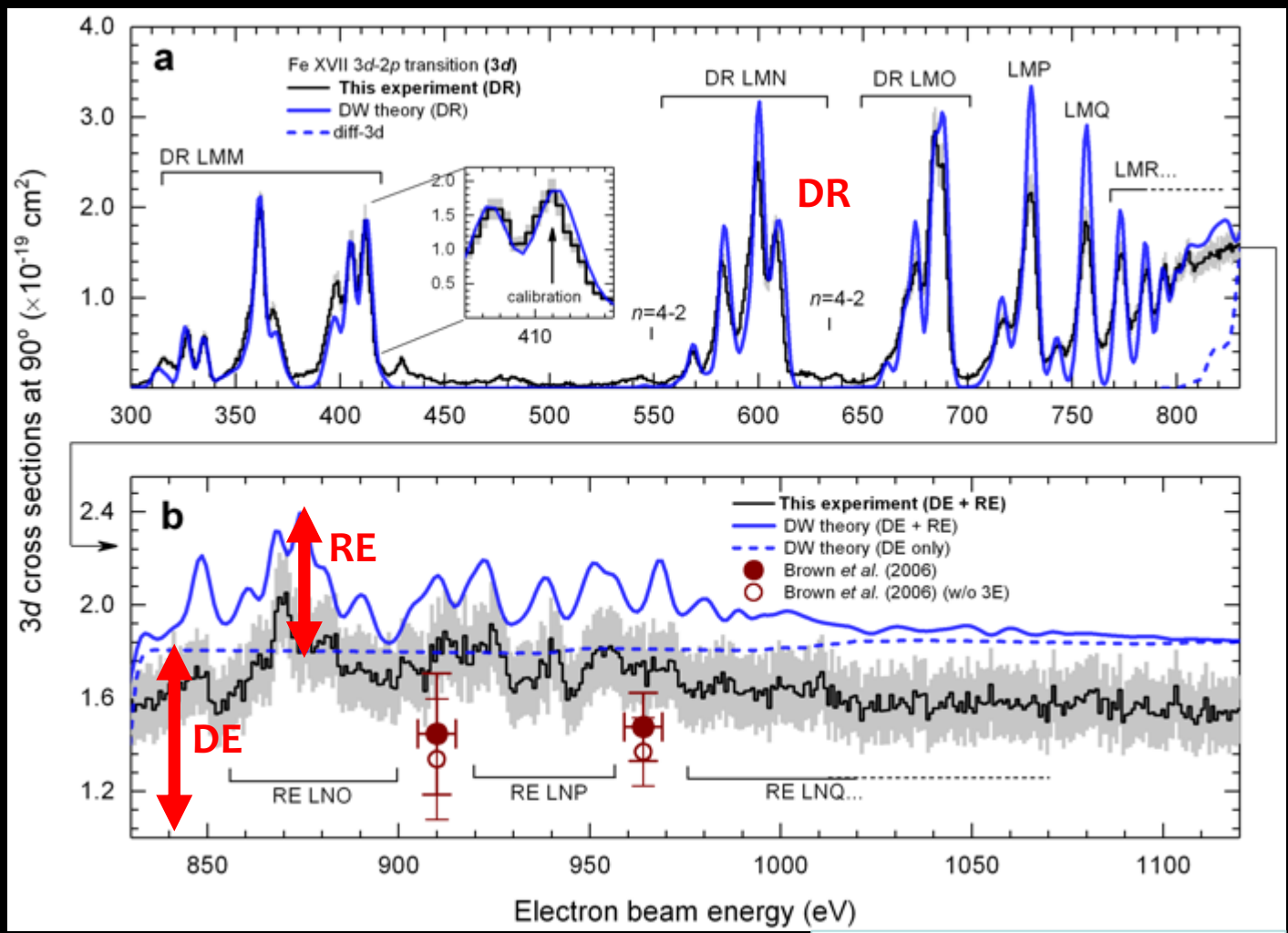
## Dielectronic Recombination + Resonance Excitation cross sections





# Dielectronic Recombination and Resonance Exc.

$3d$  ( $3C + 3D + 3E$ )



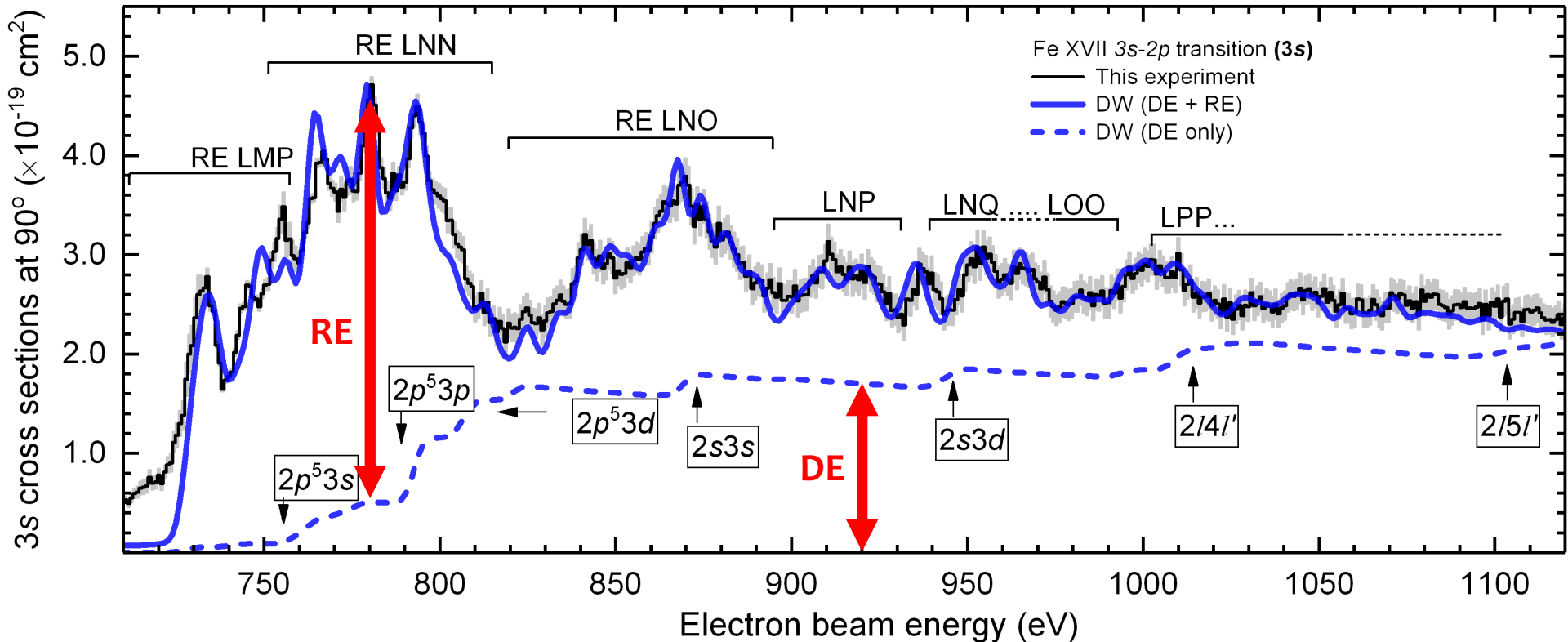
3d DW theory  
overpredicts  
high- $n$  DR,  
RE,  
CE cross sections  
by roughly ~20% ...

C. Shah et al., ApJ (2019)

# Resonance Excitation and Cascades

**3s (3G + 3F + M2) DW theory looks fine ...**

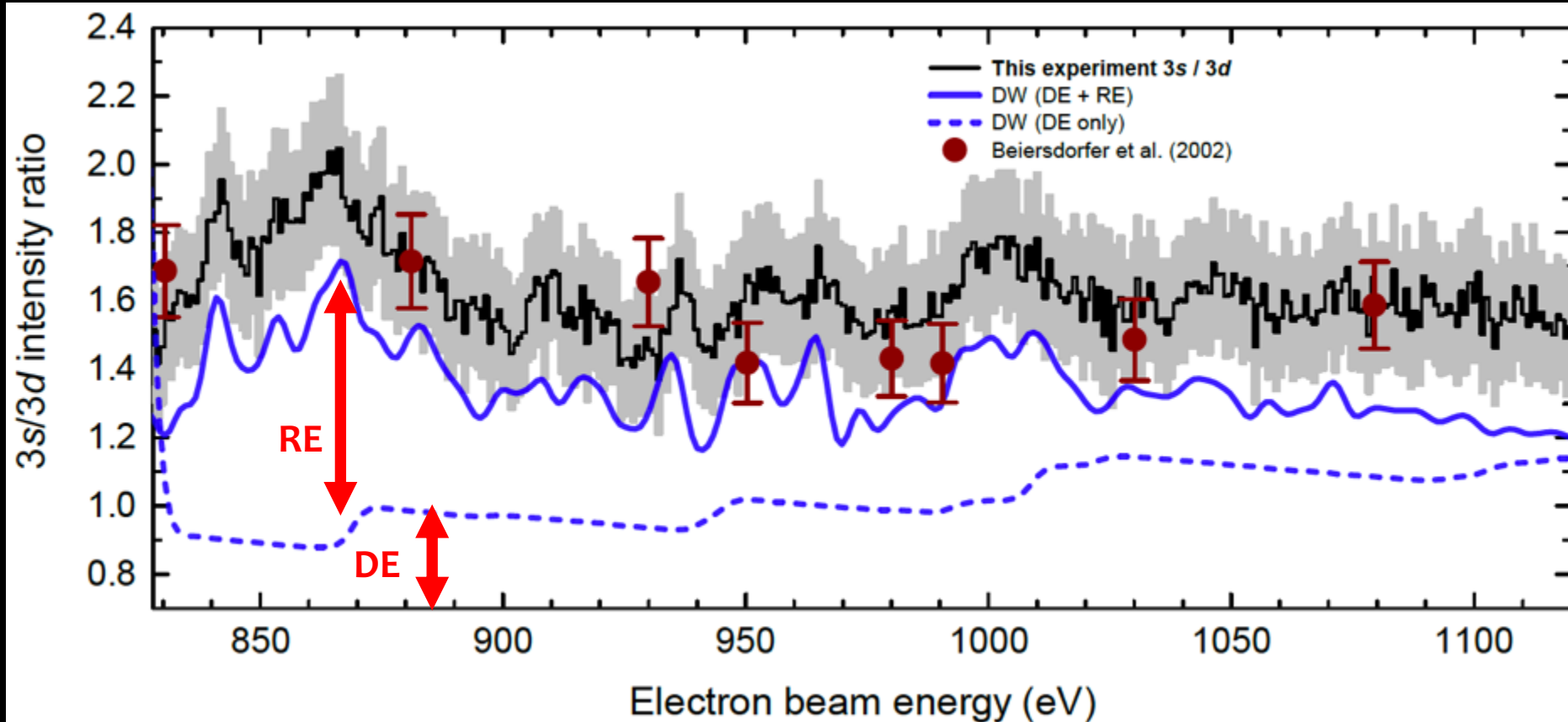
The 3s emission is fully dominated by **Resonance Excitation and Cascades**



C. Shah et al., ApJ (2019)

# 3s / 3d line ratio

Still disagree w/ theory (3C can be a culprit behind)



C. Shah et al., ApJ (2019)

# Systematic laboratory experiments

## Checking of individual atomic components of 3s and 3d

- Electron impact direct excitation
- Dielectronic recombination satellites
- Resonant excitation
- Radiative cascades

Checking the most fundamental quantity of any  
electronic transition

**Oscillator strengths ( or Einstein Coefficients A )**